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1	APPEARANCES OF COUNSEL:
2	FOR PLAINTIFF SOFTWARE FREEDOM CONSERVANCY:
3	SHADES OF GRAY LAW GROUP, PC
	BY: NAOMI JANE GRAY
4	DONALD A. THOMPSON
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5	
6	AND
	VAKILI & LEUS, LLP
7	BY: SA'ID VAKILI (REMOTE)
	DAVID N. SCHULTZ (REMOTE
8	VAKILI@VAKILI.COM
9	
10	FOR DEFENDANT VIZIO, INC.:
	QUINN EMANUEL URQUHART & SULLIVAN, LLP
11	BY: MICHAEL E. WILLIAMS
	MICHAELWILLIAMS@QUINNEMANUEL.COM
12	
13	
14	
15	ALSO PRESENT:
16	DENVER GINGERICH (REMOTE)
17	BRANDI HAMER (REMOTE)
18	KAREN SANDLER (REMOTE)
19	PERRY SMITH (REMOTE)
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SANTA ANA, CALIFORNIA - THURSDAY, OCTOBER 5, 2023
MORNING SESSION
000
(THE FOLLOWING PROCEEDINGS WERE HELD IN OPEN
COURT:)
THE COURT: WE'RE ON THE RECORD IN THE MATTER
OF SOFTWARE FREEDOM CONSERVANCY VERSUS VIZIO.
MS. GRAY: GOOD MORNING, YOUR HONOR. JANE GRAY
FROM SHADES OF GRAY LAW GROUP FOR THE PLAINTIFF, SOFTWARE
FREEDOM CONSERVANCY.
THE COURT: GOOD MORNING.
MADAM COURT REPORTER, ARE YOU REPORTING THESE
PROCEEDINGS?
THE COURT REPORTER: YES.
THE COURT: OKAY. MS. GRAY, SO A LITTLE LOUDER
NEXT TIME.
AND I BELIEVE THERE ARE ALSO PARTIES ONLINE.
MR. THOMPSON: GOOD MORNING, YOUR HONOR.
DONALD THOMPSON FOR PLAINTIFF SOFTWARE FREEDOM
CONSERVANCY, AND I'M HERE WITH MISS GRAY.
MR. VAKILI: GOOD MORNING, YOUR HONOR.
SA'ID VAKILI, ALSO FOR PLAINTIFF SOFTWARE FREEDOM
CONSERVANCY, INC. I WILL NOT BE ARGUING, BUT I'M HERE
AS AN OBSERVER.
THE COURT: THANK YOU.
MR. SCHULTZ: GOOD MORNING, YOUR HONOR.

	4
1	DAVID SCHULTZ, ALSO OF VAKILI & LEUS. ONCE AGAIN, I'M
2	ALSO JUST OBSERVING.
3	THE COURT: AND IF YOU CAN TURN ON YOUR CAMERA,
4	PLEASE.
5	MR. SCHULTZ: I THOUGHT I DID.
6	THE COURT: I THINK YOU MIGHT HAVE A THERE
7	YOU ARE.
8	MR. SCHULTZ: OKAY. I SEE MYSELF. SO ALL
9	RIGHT.
10	MR. WILLIAMS: YOUR HONOR, MICHAEL WILLIAMS OF
11	QUINN EMANUEL FOR DEFENDANT VIZIO.
12	THE COURT: WHO IS MR. SMITH?
13	THE CLERK: HE'S NOT AFFILIATED WITH ANY
14	PARTIES, YOUR HONOR.
15	MR. SMITH: JUST AN OBSERVER, YOUR HONOR.
16	THE COURT: GREAT. IF YOU COULD KEEP YOUR
17	CAMERA ON, THEN.
18	AND I THINK WE HAVE ADDITIONAL PARTIES.
19	MS. SANDLER: YES, I'M KAREN SANDLER, JUST

- 20 OBSERVING AS WELL.
- 21 THE COURT: GOOD MORNING.
- 22 WHO IS DENVER GINGERICH?
- MS. GRAY: HE'S ALSO WITH SOFTWARE FREEDOM
- 24 CONSERVANCY, YOUR HONOR.
- 25 THE COURT: SIR, IF YOU COULD TURN ON YOUR
- 26 CAMERA, PLEASE.

1	MR. GINGERICH: IT'S ON, YOUR HONOR.
2	THE COURT: ALL RIGHT. AND I HAVE JUST THE
3	COURT ATTENDANT, AND THEN A COURT ATTORNEY ON AS WELL.
4	OKAY. ALL RIGHT.
5	SO I CAN GO AHEAD AND HEAR ARGUMENT FROM YOU
6	ALL. I DID NOT ISSUE A TENTATIVE, SO I'M HAPPY TO HEAR
7	FROM YOU BOTH.
8	MS. GRAY: EXCELLENT, YOUR HONOR. I'M GOING TO
9	BE ARGUING ON THE PREEMPTION MOTION TODAY, AND MY
10	COLLEAGUE, MR. THOMPSON, WILL BE ARGUING ON THE
11	THIRD-PARTY BENEFICIARY ISSUE.
12	THE COURT: OKAY.
13	MS. GRAY: I WOULD ASK, SO THAT WE CAN USE THE
14	COURT'S TIME EFFICIENTLY AND HELP THE COURT REACH A
15	DECISION, ARE THERE ANY PARTICULAR AREAS THAT YOU WISH US
16	TO FOCUS ON, OR PARTICULAR QUESTIONS THAT YOU HAVE THAT
17	YOU WISH US TO ADDRESS?
18	THE COURT: THE PREEMPTION AND THE THIRD-PARTY
19	BENEFICIARY.
20	MS. GRAY: EXCELLENT. THEN THAT'S WHAT I'M HERE
21	TO DO.
22	ONE OTHER HOUSEKEEPING MATTER BEFORE WE GET
23	STARTED HERE, YOUR HONOR. DOES THE COURT WANT TO
24	ENTERTAIN ADDITIONAL ARGUMENT ON THE OBJECTIONS THAT ARE
25	BEFORE THE COURT?

THE COURT: NO, I DON'T.

1	MS. GRAY: OKAY. EXCELLENT.
2	CAN I JUST CONFIRM THAT WHEN THE COURT ISSUES
3	ITS RULING, WE'LL GET A RULING ON THE OBJECTIONS AS WELL?
4	THE COURT: YOU'LL GET A RULING.
5	MS. GRAY: THANK YOU SO MUCH, YOUR HONOR.
6	MR. WILLIAMS: YOUR HONOR, SINCE IT'S VIZIO'S
7	MOTION, WOULD YOU LIKE ME TO BEGIN?
8	THE COURT: YES.
9	MR. WILLIAMS: THANK YOU.
10	YOUR HONOR, WITH REGARD TO, FIRST OF ALL, AS A
11	PRELIMINARY MATTER, THE DISTRICT COURT RULING THAT
12	REMANDED THE CASE TO STATE COURT HAS ABSOLUTELY NO
13	BEARING ON THE PREEMPTION ISSUE. IT CITED NUMEROUS
14	CALIFORNIA COURT OF APPEAL CASES THAT MAKE THAT CLEAR.
15	THE JURISDICTIONAL QUESTION THAT THE FEDERAL
16	COURT DECIDED IS DIFFERENT FROM, AND NOT BINDING ON THE
17	QUESTION OF WHETHER A STATE LAW PREEMPTION DEFENSE WILL
18	SUCCEED. THERE ARE NUMEROUS CASES, WHICH WE CITED, IN
19	WHICH THE DISTRICT COURT REMANDED, FINDING NO COMPLETE
20	JURISDICTION FOR PURPOSES OF FEDERAL JURISDICTION, BUT
21	THE STATE COURT OF APPEAL AFFIRMED FINDINGS OF PREEMPTION
22	AS A DEFENSE. SO TO PUT THAT PART OUT OF THE WAY,
23	BECAUSE THAT IS A FOCUS OF PLAINTIFF'S ARGUMENTS IN THEIR
24	PAPERS.
25	WITH REGARD TO THE PREEMPTION ISSUE, IT TURNS ON
26	WHENTED THE DIGING THAT COEMAND EDEEDOM CONCEDUANCY TO

WHETHER THE RIGHTS THAT SOFTWARE FREEDOM CONSERVANCY IS

ASSERTING ARE EQUIVALENT TO THE EXCLUSIVE RIGHTS UNDER
THE COPYRIGHT ACT. I DON'T BELIEVE THE PARTIES REALLY
DISPUTE THE STANDARD THAT THE COURT SHOULD APPLY. THE
QUESTION BECOMES MORE, HOW DOES IT GET APPLIED HERE. AND
AS THE COURT HAS MADE CLEAR, THE KABEHIE CASE, WHICH IS
THE CALIFORNIA COURT OF APPEAL, YOU HAVE TO LOOK AT THE
PARTICULAR PROMISE THAT'S ALLEGED TO HAVE BEEN BREACHED,
AS WELL AS THE PARTICULAR RIGHT THAT'S ALLEGED TO HAVE
BEEN VIOLATED. AND YOU DO THAT ANALYSIS WITH REGARD TO
DETERMINING WHETHER A BREACH OF CONTRACT CLAIM IS
PREEMPTED BY THE COPYRIGHT ACT.

AND AS THE COURT MADE CLEAR, THERE MUST BE AN
EXTRA ELEMENT TO THE BREACH OF CONTRACT CLAIM THAT
TRANSFORMS THE CLAIM INTO SOMETHING QUALITATIVELY
DIFFERENT THAN A COPYRIGHT INFRINGEMENT. IF THERE ISN'T

2.0

SO IF THE SAME CONDUCT UNDERLYING SFC'S STATE LAW BREACH OF CONTRACT CLAIM WOULD GIVE RISE TO A CLAIM FOR COPYRIGHT INFRINGEMENT, PREEMPTION CONTROLS AND THE STATE LAW CLAIM IS PREEMPTED.

THAT EXTRA ELEMENT THAT ACTUALLY CHANGES THE NATURE OF

POINT OF FEDERAL PREEMPTION.

THE CLAIM, THEN THE CLAIM IS PREEMPTED. THAT'S THE WHOLE

I WANT TO FOCUS THREE MAIN POINTS CONFIRMING

PREEMPTION HERE. FIRST, THE SOURCE CODE PROVISION OF THE

GENERAL PUBLIC LICENSE VERSION 2, THE GPL'S AT ISSUE

HERE, THAT IS AN EXERCISE OF THE COPYRIGHT HOLDER'S

- 1 EXCLUSIVE RIGHT TO DISTRIBUTE THEIR COPYRIGHTED WORK.
- 2 | THAT'S THE FIRST ARGUMENT I WANT TO FOCUS ON.

2.0

THE SECOND ONE IS THAT THE SOURCE CODE PROVISION
IS A CONDITION TO THE LICENSE, AND UNDER BINDING LAW THAT
CONDITION TERMINATES THE LICENSE, AND GIVES RISE TO A
CLAIM FOR COPYRIGHT INFRINGEMENT. AND IF YOU HAVE A
CLAIM FOR COPYRIGHT INFRINGEMENT, YOU CAN'T HAVE A
COMPETING STATE LAW CLAIM BASED ON THE SAME CONDUCT.

AND THE THIRD POINT I WANT TO FOCUS ON IS THAT
THERE IS NO EXTRA ELEMENT TO SOFTWARE FREEDOM

CONSERVANCY'S BREACH OF CONTRACT CLAIM THAT WOULD

TRANSFORM IT AWAY FROM SOMETHING BEYOND A COPYRIGHT

CLAIM.

SO FIRST, THE SOURCE CODE IS TIED TO THE EXCLUSIVE RIGHT OF DISTRIBUTION. SO THE COPYRIGHT ACT GRANTS A COPYRIGHT HOLDER CERTAIN EXCLUSIVE RIGHTS, AND THOSE ARE CODIFIED IN 17 USC 106. AND THEY INCLUDE, AT LEAST AS PERTINENT TO THIS CASE, THE RIGHT TO DO AND TO AUTHORIZE THE COPYING, MODIFICATION, AND DISTRIBUTION OF THE COPYRIGHTED WORK.

THAT MEANS THAT THE COPYRIGHT HOLDER HAS THE EXCLUSIVE RIGHT DO DETERMINE IF AND HOW THAT COPYRIGHTED WORK CAN BE COPIED, HOW IT CAN BE MODIFIED, AND HOW IT COULD BE DISTRIBUTED. AND IN THE JACOBSEN VERSUS KATZER CASE, WHICH IS A FEDERAL CIRCUIT CASE WHICH WE CITE APPLYING CALIFORNIA, LAW, IS INSTRUCTIVE ON THIS MATTER.

AND IT EXPLAINS, "COPYRIGHT HOLDERS WHO ENGAGE
IN OPEN-SOURCE LICENSING HAVE THE RIGHT TO CONTROL THE
MODIFICATION AND DISTRIBUTION OF THEIR COPYRIGHTED
MATERIALS."

2.0

AND WHAT SFC IS SEEKING TO DO HERE IS TO

CONTROL -- IS TO ENFORCE LANGUAGE IN THE GPL'S THAT

GOVERN THE COPYRIGHT HOLDER'S EXCLUSIVE RIGHT TO CONTROL

THE DISTRIBUTION OF THEIR PRODUCT, OF THEIR SOFTWARE.

HOW DO WE KNOW THAT? WELL, THE GPL'S ON THEIR FACE STATE THAT THE LICENSE ONLY COVERS THE RIGHT TO COPY, DISTRIBUTE, AND MODIFY THE SOURCE CODE. THOSE ARE THREE EXCLUSIVE RIGHTS UNDER THE COPYRIGHT ACT. I DON'T THINK ANYONE IS GOING TO DISPUTE THAT.

SO BY NECESSITY, SEEKING TO ENFORCE THE GPL'S IS SEEKING TO ENFORCE ONE OF THOSE EXCLUSIVE RIGHTS UNDER THE COPYRIGHT ACT.

BUT MORE SPECIFICALLY, THE GPL'S SAY THAT THE LICENSEE CAN DISTRIBUTE THE SOFTWARE, PROVIDED THAT THEY ALSO PROVIDE THE SOURCE CODE FOR THE SOFTWARE, OR A WRITTEN OFFER THAT SOMEONE CAN REQUEST THE SOURCE CODE.

THAT IS AN EXERCISE OF THE COPYRIGHT HOLDER'S RIGHT TO CONTROL THE DISTRIBUTION OF THEIR SOFTWARE. THE COPYRIGHT HOLDER IS TELLING PEOPLE, I'M GOING TO PERMIT YOU TO DISTRIBUTE MY SOFTWARE ON THE CONDITION THAT YOU ALSO INCLUDE WITH IT THE SOURCE CODE TO THE MODIFICATIONS OR THE CHANGES YOU HAVE MADE.

THAT IS AN EXERCISE OF THE EXCLUSIVE RIGHT TO
CONTROL THE DISTRIBUTION OF THE SOFTWARE. IF THE LICENSE
SAID AND PEOPLE MAY BE FAMILIAR WITH THIS IN A
SOFTWARE LICENSE. YOU BUY A SOFTWARE PRODUCT. IF THE
LICENSE SAID, YOU ARE PROHIBITED FROM DISTRIBUTING COPIES
OF THIS LICENSE, OR IF IT SAID, YOU ARE ONLY ALLOWED TO
DISTRIBUTE UP TO FIVE COPIES OF THIS LICENSE TO MEMBERS
OF YOUR HOUSEHOLD, I DON'T THINK ANYONE COULD CREDIBLY
DISPUTE THAT THAT CONDITION IS AN EXERCISE OF THE
COPYRIGHT HOLDER'S EXCLUSIVE RIGHT TO CONTROL THE
DISTRIBUTION OF THEIR COPYRIGHTED WORK.

2.0

HERE, THE GPL'S ARE NO DIFFERENT. THEY SAY, YOU
HAVE THE PERMISSION TO DISTRIBUTE THIS COPYRIGHTED
SOFTWARE, SO LONG AS OR PROVIDED THAT YOU INCLUDE THE
SOURCE CODE THAT GOES ALONG WITH IT.

SO THAT POINT MAKES CLEAR THAT THIS IS AN EXERCISE OF THE COPYRIGHT HOLDER'S EXCLUSIVE RIGHT. IT'S EQUIVALENT TO EXCLUSIVE RIGHTS, AND PREEMPTION APPLIES.

IN ADDITION, THE AUTHOR OF THE COPYRIGHT LICENSE AT ISSUE, THE GPL'S, AN ENTITY CALLED THE FREE SOFTWARE FOUNDATION, THEY REITERATE THIS POINT. THEY HAVE PUT OUT THERE ON THEIR WEBSITE WHERE THE GPL'S ARE LOCATED, THERE ARE FREQUENTLY ASKED QUESTIONS THAT SAY, WHO HAS THE POWER TO ENFORCE THE GPL'S? AND THEY TELL THE WORLD THAT BECAUSE THESE ARE COPYRIGHT LICENSES, THE COPYRIGHT HOLDER MUST BE THE ONE TO ENFORCE THESE TERMS.

ALL THAT DOES IS REITERATE THAT THIS IS ENFORCING -- THE WAY IT WAS DRAFTED, IT'S ENFORCING EXCLUSIVE RIGHTS UNDER THE COPYRIGHT ACT.

2.0

THE SECOND ARGUMENT, THAT THE SOURCE CODE

PROVISION IS A CONDITION OF THE LICENSE, AND AGAIN, YOUR

HONOR, THE JACOBSEN V. KATZER CASE, WHICH WAS A UNANIMOUS

DECISION FROM THE FEDERAL CIRCUIT, LAYS OUT, AND IT

DOESN'T -- IT'S A VERY APPLICABLE CASE HERE, BECAUSE IT

WAS INTERPRETING AND ADDRESSING A SIMILAR OPEN SOURCE

LICENSE THAT WE HAVE HERE.

IN THAT CASE, IT WAS AN OPEN SOURCE SOFTWARE
LICENSE THAT SAID, YOU HAVE PERMISSION, USER, TO COPY,
MODIFY, OR DISTRIBUTE THE SOFTWARE, PROVIDED THAT YOU
TELL PEOPLE WHAT MODIFICATIONS YOU MADE, AND WHEN, AND
YOU MAKE THOSE MODIFICATIONS PUBLICLY AVAILABLE ON A
WEBSITE OR SOME OTHER FORM THAT THE WORLD CAN HAVE ACCESS
TO THE MODIFICATIONS YOU MADE. VERY MUCH ON PAR WITH
WHAT THE GPL'S HERE INVOLVE IN TERMS OF OFFERING OR
PROVIDING THE SOURCE CODE.

AND IN THAT CASE, THE COURT ANALYZED WHETHER
THIS WAS A CONDITION OF THE LICENSE. BECAUSE IF IT'S A
CONDITION OF THE LICENSE, AND SOMEONE VIOLATES THAT
CONDITION OR BREACHES THAT CONDITION, IT TERMINATES THE
LICENSE, AND IT RESULTS IN A CLAIM FOR COPYRIGHT
INFRINGEMENT.

AND THE COURT THERE EXPLAINED THAT THE TERMS AT

ISSUE WERE CLEARLY A CONDITION OF THE LICENSE, BECAUSE IT 1 2 NOTED ON ITS FACE THAT IT CREATED CONDITIONS FOR THE COPYING, MODIFICATION, AND DISTRIBUTION OF THE SOFTWARE. 3 IT USED TRADITIONAL LANGUAGE OF A CONDITION UNDER 4 5 CALIFORNIA LAW, BECAUSE IT WAS APPLYING CALIFORNIA LAW. 6 THE "PROVIDED THAT" LANGUAGE; THAT YOU CAN DO THIS, 7 PROVIDED THAT YOU ALSO DO X. AND THAT THESE CONDITIONS ALLOWED THE COPYRIGHT 8 HOLDER TO RETAIN THE BENEFIT OF DOWNSTREAM USERS WHO ARE 9 10 MODIFYING THEIR WORK. THOSE SAME PRINCIPLES APPLY HERE. THE GPL'S SAY 11 ON THEIR FACE, THESE CAN PROVIDE TERMS AND CONDITIONS FOR 12 13 THE COPYING, MODIFICATION, AND DISTRIBUTION. THEY USE 14 LANGUAGE THAT UNDER CALIFORNIA LAW CONNOTES A CONDITION 15 THAT PROVIDED THAT LANGUAGE, AND THEY ALLOW THE COPYRIGHT 16 HOLDER TO RETAIN THE ABILITY TO BENEFIT FROM DOWNSTREAM 17 USERS WHO WANT TO MAKE MODIFICATIONS TO THE OPEN SOURCE 18 SOFTWARE. 19 SO BECAUSE IT IS A CONDITION OF THE LICENSE, AND 2.0 THE GPL'S ALSO STATE CLEARLY, "YOU ARE PROHIBITED FROM 21 COPYING, MODIFYING OR DISTRIBUTING THIS OPEN SOURCE 22 SOFTWARE EXCEPT AS PROVIDED HEREIN, " OR LANGUAGE TO THAT 23 EFFECT. AND ANY ATTEMPT TO DO OTHERWISE IS VOID, AND

GPL LICENSE, YOUR LICENSE IS TERMINATED, AND THAT GIVES

SO AGAIN, IF YOU DON'T FOLLOW THE TERMS OF THE

AUTOMATICALLY TERMINATES THE LICENSE.

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RISE TO A CLAIM FOR COPYRIGHT INFRINGEMENT. AGAIN, WHY
IS THAT IMPORTANT? BECAUSE IF YOU HAVE A CLAIM FOR
COPYRIGHT INFRINGEMENT, YOU CAN'T BRING A BREACH OF
CONTRACT CLAIM FOR THE SAME CONDUCT.

2.0

AND THAT BRINGS ME TO THE THIRD POINT, WHICH IS THE EXTRA ELEMENT TEST.

AND ONCE AGAIN, KABEHIE MAKES CLEAR, THE EXTRA ELEMENT MUST BE ONE THAT QUALITATIVELY TRANSFORMS A CLAIM FROM A COPYRIGHT CLAIM. AND THE MERE BREACH OF A PROMISE INHERENT IN EVERY CONTRACT DOES NOT CONSTITUTE THE REQUISITE EXTRA ELEMENT, UNLESS IT CREATES A RIGHT QUALITATIVELY DIFFERENT THAN COPYRIGHT.

AND THE COURT GAVE EXAMPLES IN THAT CASE AND SAID, THE EXTRA ELEMENT COULD THE RIGHT TO PAYMENT OR THE RIGHT TO ROYALTIES OR SOME OTHER INDEPENDENT COVENANT THAT IS UNRELATED TO THE EXCLUSIVE RIGHTS UNDER THE COPYRIGHT.

BUT THE COURT ALSO SAID, IF THE PROMISE IS JUST AGREEING TO DO THAT WHICH YOU ARE ALREADY PROHIBITED FROM DOING UNDER THE COPYRIGHT LAWS, OR REQUIRED TO DO UNDER THE COPYRIGHT LAWS, THERE IS NO EXTRA ELEMENT.

AND THAT'S EXACTLY WHAT WE HAVE HERE. THE

LANGUAGE IN THE GPL'S THAT SFC IS FOCUSED ON IS THE

LANGUAGE THAT REQUIRES A USER TO DISTRIBUTE THE SOURCE

CODE IF THEY WANT TO DISTRIBUTE SOFTWARE COVERED BY THAT

LICENSE. IT IS PART AND PARCEL OF THE EXCLUSIVE RIGHTS.

1	THERE IS NO EXTRA ELEMENT. AND WE KNOW THAT ALSO BECAUSE
2	SFC HAS PUBLICLY STATED, BOTH TO VIZIO BEFORE LITIGATION,
3	AND PUBLICLY IN MANUALS AND COMPLIANCE GUIDES THAT THEY
4	HAVE WRITTEN BEFORE THIS LAWSUIT, THAT MAKE CLEAR THAT
5	VIOLATION OF THE SOURCE CODE PROVISION CONSTITUTES
6	COPYRIGHT INFRINGEMENT, AND THE COPYRIGHT HOLDER IS THE
7	ONLY PERSON WHO CAN ENFORCE THAT.
8	THAT CONFIRMS, THEY ARE NOT SEEKING ANY EXTRA
9	ELEMENT HERE. IT'S THE EXACT SAME ALLEGED BREACH. THE
10	FAILURE TO THE ALLEGED FAILURE TO PROVIDE THE SOURCE
11	CODE WITH THE SOFTWARE.
12	SO WE CONTEND THAT THERE IS NO EXTRA ELEMENT.
13	THE RIGHTS THEY ARE ASSERTING ARE EQUIVALENT TO THE
14	EXCLUSIVE RIGHTS UNDER THE COPYRIGHT ACT, AND PREEMPTION
15	APPLIES.
16	I WANT TO TURN TO THE THIRD-PARTY BENEFICIARY,
17	YOUR HONOR.
18	THE MOTION FOR SUMMARY JUDGMENT FOCUSES ON THE
19	THIRD PRONG OF THE THIRD-PARTY BENEFICIARY TEST. AND THE
20	CALIFORNIA SUPREME COURT, IN THE GOONEWARDENE CASE, LAID
21	OUT THE ELEMENTS. BUT THERE, THE QUESTION IS WHETHER
22	PERMITTING A THIRD PARTY TO BRING A BREACH OF CONTRACT
23	CLAIM IS CONSISTENT WITH THE OBJECTIVES OF THE CONTRACT,

SO, AND THE COURT CAN CONSIDER THE LANGUAGE OF

AND THE REASONABLE EXPECTATIONS OF THE CONTRACTING

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PARTIES.

1	THE LICENSE ITSELF EXTRINSIC EVIDENCE OF OBJECTIVE
2	MATTERS, THE CIRCUMSTANCES UNDER WHICH THE PARTIES
3	ENTERED INTO THE CONTRACT, ET CETERA. AND SO THE COURT
4	BREAKS THAT PRONG INTO TWO ELEMENTS; WHETHER THIRD-PARTY
5	ENFORCEMENT IS CONSISTENT WITH THE CONTRACTING PARTY'S
6	PERFORMANCE OBJECTIVES, AND WHETHER THIRD-PARTY
7	ENFORCEMENT IS CONSISTENT WITH THE REASONABLE
8	EXPECTATIONS OF THE CONTRACTING PARTIES.
9	AND THE CITY OF OAKLAND CASE THAT WE CITE IN OUR
10	BRIEFING MAKES CLEAR THAT ANY DOUBTS RELATED TO THE
11	EXISTENCE OF THIRD-PARTY BENEFICIARY STATUS MUST BE
12	RESOLVED AGAINST IT. SO THE PRESUMPTION IS AGAINST
13	THIRD-PARTY BENEFICIARY STATUS.
14	AS TO THE OBJECTIVES OF THE CONTRACT, AGAIN,
15	WE'RE DEALING WITH A COPYRIGHT LICENSE. AND A COPYRIGHT
16	LICENSE CAN ONLY BE ENFORCED BY THE COPYRIGHT HOLDER.
17	AND WE CITE CASES TO THAT EFFECT. AND THE AUTHOR OF THIS
18	LICENSE HAS REITERATED THAT FACT, AND SO HAS SFC, THAT
19	THE COPYRIGHT HOLDER IS THE ONLY ENTITY THAT CAN ENFORCE
20	THE GPL'S.
21	THE OBJECTIVE OF THE LICENSE IS TO CREATE THESE
22	CONDITIONS UNDER WHICH THE COPYRIGHT HOLDER CAN CONTROL
23	THE MANNER OF DISTRIBUTION OF THEIR SOFTWARE. SO WE

AND THEN WE ALSO CONTEND, YOUR HONOR, THAT THIS

BELIEVE, UNDER THE OBJECTIVE PURPOSES, NO THIRD-PARTY

ENFORCEMENT IS PERMITTED.

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1	THIRD-PARTY ENFORCEMENT IS NOT CONSISTENT WITH THE
2	REASONABLE EXPECTATIONS OF THE PARTIES. AN ENTITY THAT'S
3	ENTERING INTO A COPYRIGHT LICENSE TO OBTAIN SOFTWARE
4	COVERED BY A LICENSE IS NOT GOING TO EXPECT THAT THEY CAN
5	POTENTIALLY BE SUED BY LITERALLY MILLIONS OF PEOPLE,
6	WITHOUT LIMITATION, ESPECIALLY WHEN THE AUTHOR OF THAT
7	LICENSE MAKES CLEAR THAT THE ONLY PEOPLE THAT CAN ENFORCE
8	IT IS THE COPYRIGHT HOLDER.

2.0

BASED ON THE OBJECTIVE FACTS, NO LICENSEE IS
REASONABLY GOING TO EXPECT THAT THEY COULD BE SUED BY
ANYONE, NOR IS THERE ANY NEED TO, BECAUSE AS THE COURTS
HAVE NOTED, IF YOU HAVE THE ACTUAL PARTIES TO THE
AGREEMENT WHO CAN ENFORCE IT AND DO ENFORCE IT, THAT CUTS
AGAINST THIRD-PARTY ENFORCEMENT.

WE HAVE SEEN COPYRIGHT HOLDERS FILE SUIT, WE HAVE EVEN SEEN SOFTWARE FREEDOM CONSERVANCY FILE SUIT JOINED WITH COPYRIGHT HOLDERS TO ENFORCE THE TERMS UNDER COPYRIGHT LAW. THERE IS NO THIRD-PARTY BENEFICIARY EXPECTATION HERE.

AND THE IDEA OF THAT, AGAIN, THE EXPLOSION OF POTENTIAL LITIGATION AND THE LITIGATION COSTS THAT WOULD COME WITH IT, WHICH IS SOMETHING THE SUPREME COURT SAID THE COURT CAN CONSIDER IN THE GOONEWARDENE CASE, FURTHER DEMONSTRATE THAT THERE IS NO EXPECTATION OF THIRD-PARTY ENFORCEMENT.

I KNOW I'VE SAID A LOT, YOUR HONOR, AND YOU HAVE

1	HAD A LONG DAY. IF THERE IS ANY QUESTIONS, I'M HAPPY TO
2	ANSWER THEM.
3	THE COURT: GOING BACK TO, I KNOW I SAID I
4	DIDN'T WANT TO HEAR ABOUT THE OBJECTIONS, BUT LET'S SEE.
5	I GUESS AS TO, MR. WILLIAMS, YOUR DECLARATION,
6	THE OBJECTIONS, IF YOU WANT TO ADDRESS OBJECTIONS 26, 42?
7	MR. WILLIAMS: THESE ARE TO MY DECLARATION? THE
8	OBJECTIONS?
9	THE COURT: YES.
10	MR. WILLIAMS: OKAY. WHICH NUMBERS, YOUR HONOR?
11	THE COURT: NUMBER 26.
12	MR. WILLIAMS: OKAY.
13	THE COURT: 42.
14	MR. WILLIAMS: SO WITH REGARD TO OBJECTION 26,
15	WHICH IS REFERENCING EXHIBIT 10, IS THE QUESTION, YOUR
16	HONOR, WITH REGARD TO THE SETTLEMENT NEGOTIATIONS POINT,
17	OR IS THERE A PARTICULAR ISSUE YOU WANT ME TO FOCUS ON?
18	THE COURT: I DON'T HAVE WHAT IS OBJECTION
19	NUMBER 26?
20	MR. WILLIAMS: IT SAYS, "LACK OF PERSONAL
21	KNOWLEDGE, STATEMENTS IN NEGOTIATION OF SETTLEMENT, " AND
22	THEN, "THIS EVIDENCE IS FURTHER OBJECTED TO ON THE
23	GROUNDS THAT IT'S IRRELEVANT, AND DOES NOT TEND TO PROVE
24	THE EXISTENCE OF ANY FACT."
25	THE COURT: AND THAT'S FOR EXHIBIT 10, CORRECT?
26	MR. WILLIAMS: CORRECT.

THE COURT: OKAY. SO IF YOU JUST WANT TO ADDRESS THAT.

MR. WILLIAMS: SURE.

2.0

THE COURT: THOSE OBJECTIONS.

MR. WILLIAMS: SURE. SO EXHIBIT 10, WHICH WAS INCLUDED, IS A LETTER THAT WAS SENT FROM SFC'S DIRECTOR OF COMPLIANCE, DENVER GINGERICH, TO IN-HOUSE COUNSEL AT VIZIO, WHICH WAS ENTITLED "COPYRIGHT INFRINGEMENT." IT WAS ESSENTIALLY A CEASE-AND-DESIST LETTER THAT WAS SENT TO MY CLIENT, THAT WE WERE PROVIDED COPIES OF WHEN WE WERE RETAINED.

WITH REGARD TO THE SUBJECT MATTER OF IT, IT IS
LACK OF PERSONAL KNOWLEDGE. IT'S UNCLEAR IF THE QUESTION
IS -- WHAT THAT'S REALLY DIRECTED TO IS WHETHER I HAVE
KNOWLEDGE OF IT BEING TRUE AND CORRECT, IT'S A -- I DON'T
THINK THERE IS ANY DISPUTE THAT THEY SENT THIS LETTER TO
MY CLIENT. I WAS PROVIDED WITH IT IN CONNECTION WITH OUR
RETENTION HERE. SO I DON'T THINK THAT'S REALLY AN ISSUE.

IN REGARD -- THEY RAISE A QUESTION ABOUT WHETHER THESE ARE STATEMENTS IN NEGOTIATION OF SETTLEMENT. THIS IS A TRUE CEASE-AND-DESIST LETTER THAT WAS SENT TO OUR CLIENT. THERE WAS NO INDICATION ON IT THAT IT WAS A SETTLEMENT NEGOTIATION. AND IN FURTHER COMMUNICATION, WHICH I BELIEVE WE INCLUDED WITH OUR REPLY, SFC MADE THE POINT THAT ALL OF THESE COMMUNICATIONS MAY BE ULTIMATELY PUBLICLY DISCLOSED AT SOME POINT.

SO THIS WAS NOT PART OF ANY SETTLEMENT NEGOTIATION, PARTICULARLY THIS LETTER.

2.0

AND RELEVANT, I THINK IT'S VERY CLEAR AND RELEVANT TO THE POINT THAT I MADE EARLIER, THAT, ONE, THE REASONABLE EXPECTATIONS OF THE PARTIES, AND THAT THERE IS NO EXTRA ELEMENT HERE. SFC'S POSITION IS VIZIO'S ALLEGED FAILURE TO PROVIDE THE SOURCE CODE CONSTITUTES COPYRIGHT INFRINGEMENT.

IF THAT'S THE CASE, AND THEN THEY ARE NOT RELYING ON ANY EXTRA ELEMENT, THAT'S WHAT THEY ARE ALLEGING HERE, AND THAT'S WHAT LOCKS THEM INTO THE PREEMPTION ANALYSIS.

YOU MENTIONED --

THE COURT: AND THEN 42.

MR. WILLIAMS: -- 42, WHICH APPEARS TO BE

EXHIBIT 5. THAT IS ANOTHER LETTER FROM MR. GINGERICH TO

VIZIO'S GENERAL COUNSEL, DATED AUGUST 7, 2018, WITH THE

TITLE "COPYRIGHT INFRINGEMENT BY," AND IT IDENTIFIES

CERTAIN TELEVISION MODEL NUMBERS. AND AGAIN, FOR THE

SAME REASONS I INDICATED, THAT WOULD BE IRRELEVANT, AND

NOT COVERED BY ANY SETTLEMENT COMMUNICATIONS.

THE COURT: OKAY. AND 44, OBJECTION NUMBER 44.

MR. WILLIAMS: SURE. 44 IS TO EXHIBIT 8, SO

THIS IS THE FREQUENTLY ASKED QUESTIONS ABOUT THE GNU

LICENSES, WHICH WAS PRINTED FROM THE FREE SOFTWARE -- THE

WEBSITE THAT ACTUALLY MAINTAINS, THE WWW.GNU.ORG, THIS IS

1	WHERE PEOPLE COULD ACTUALLY DOWNLOAD AND OBTAIN COPIES OF
2	THE GPL'S THAT ARE AT ISSUE HERE. IT WAS AUTHORED BY THE
3	FREE SOFTWARE FOUNDATION, WHICH IS THE ENTITY THAT
4	CREATED THE GPL'S, THAT DRAFTED THEM. AND WE HAVE CITED
5	IT FOR THE QUESTION OF WHO HAS THE POWER TO ENFORCE THE
6	GPL'S, WHICH IS ONE OF THE FREQUENTLY ASKED QUESTIONS.
7	AND THAT'S AT PAGE 68 OF THE COMPENDIUM OF EXHIBITS.

2.0

AND WHAT THAT GOES TO IS IT'S NOT ONLY

REITERATING THE POINTS THAT WE MADE, BUT FURTHER

ESTABLISHING THE REASONABLE EXPECTATIONS OF THE PARTIES,

AND THE CIRCUMSTANCES SURROUNDING THE -- WHEN PARTIES

ENTER INTO THIS LICENSE, WHEN THE ENTITY THAT CREATED IT

IS TELLING THE WORLD, THIS IS A COPYRIGHT LICENSE, THE

ONLY PEOPLE WHO CAN ENFORCE IT ARE THE COPYRIGHT HOLDERS,

SO IF YOU SEE A VIOLATION, LET THEM KNOW.

SO IT GOES, IT'S PARTICULARLY RELEVANT TO THE THIRD-PARTY BENEFICIARY IN THE REASONABLE EXPECTATIONS OF THE PARTIES DISCUSSION.

THE COURT: OKAY. I HAVE FIVE MORE I WANTED TO ADDRESS. OBJECTION NUMBER 45.

MR. WILLIAMS: SO THAT IS EXHIBIT 9, WHICH IS A PRINTOUT, AGAIN FROM THE WWW.GNU.ORG WEBSITE, DISCUSSING VIOLATIONS OF THE GPL'S HERE AT ISSUE. FOR THE SAME REASONS, IT'S RELEVANT, BECAUSE AGAIN, THIS IS THE CREATOR OF THAT LICENSE TELLING THE WORLD WHAT TO DO IF YOU SEE A VIOLATION, AND THAT ONLY THE COPYRIGHT HOLDER

HAS THE POWER TO ENFORCE THE GPL'S. AND THIS IS ALSO SOMETHING THAT SFC HAS PUBLICLY STATED MULTIPLE TIMES PRIOR TO THIS LITIGATION, AS WELL. SO AGAIN, IT GOES TO THE REASONABLE EXPECTATIONS OF THE PARTIES.

2.0

THE COURT: OKAY. AND OBJECTION NUMBER 46.

MR. WILLIAMS: 46, EXHIBIT 10, AGAIN, THIS IS
COMMUNICATIONS BETWEEN SFC AND VIZIO PRE-LITIGATION. THE
SUBJECT IS COPYRIGHT INFRINGEMENT REGARDING THESE CERTAIN
MODELS. AND AGAIN, THESE ARE PARTY ADMISSIONS BY SFC
THAT THE SAME CONDUCT THEY HAVE ALLEGED IN THIS LAWSUIT,
THEY ARE CONTENDING CONSTITUTE COPYRIGHT INFRINGEMENT.

THE COURT: OBJECTION NUMBER 49.

MR. WILLIAMS: 49 IS EXHIBIT 16. SO THIS IS A PRINTOUT FROM THE FREE SOFTWARE FOUNDATION, FSF.ORG, WHICH IS THE ENTITY THAT DRAFTED THE GPL'S. IT'S A STATEMENT BY THE EXECUTIVE DIRECTOR AT THE TIME FOR THE FSF.

AND AS WE EXPLAINED WITH REGARD TO OUR RESPONSE,

PART OF IT WAS, THE OBJECTION WAS IMPROPER OPINION. AND

AS WE INDICATED, THIS IS FROM THE ENTITY THAT DRAFTED THE

GPL'S, AND THAT THEY'RE QUALIFIED TO EXPLAIN THE PURPOSE

AND INTENTS BEHIND THE COPYRIGHT LICENSE.

WITH REGARD TO THE HEARSAY OBJECTION, AGAIN, WE ARE NOT OFFERING IT FOR THE TRUTH, BUT RATHER INTENT OR STATE OF MIND, AND THEY GO TO THE REASONABLE EXPECTATIONS OF THE PARTIES.

1	AND THEN THERE IS AN AUTHENTICATION, BUT AGAIN,
2	WE HAVE PROVIDED THESE ALL THROUGH, SORT OF, PAGE VAULT,
3	WHICH IDENTIFIES THE INFORMATION ON THE COVER SHEET OF
4	WHERE IT WAS PROVIDED FROM. I DON'T KNOW THAT SFC IS
5	CHALLENGING THE LEGITIMACY OF IT, AS OPPOSED TO THE
6	SUBSTANCE OF THE STATEMENT.
7	THE COURT: AND OBJECTION NUMBER 56.
8	MR. WILLIAMS: 56 IS TO EXHIBIT 23.
9	SO THIS IS A PRINTOUT FROM COPYLEFT.ORG. AND IN
10	PARTICULAR, WE HAD HIGHLIGHTED LANGUAGE THAT SAYS,
11	COPYRIGHT LAW LET'S SEE. IT STATES, "THEREFORE,
12	COPYRIGHT HOLDERS OR THEIR AGENTS ARE ULTIMATELY THE SOLE
13	AUTHORITIES TO ENFORCE COPYLEFT AND PROTECT THE RIGHTS OF
14	USERS. ACTIONS FOR COPYRIGHT INFRINGEMENT ARE THE
15	ULTIMATE LEGAL MECHANISM FOR ENFORCEMENT."
16	AND THIS PARTICULAR STATEMENT, AGAIN, GOES TO
17	REASONABLE EXPECTATIONS OF THE PARTIES. AND I NEED TO
18	CONFIRM THAT, I THINK IT WAS ALSO PREPARED BY SFC
19	REPRESENTATIVES, SO THEY'RE PARTY ADMISSIONS.
20	THE COURT: ALL RIGHT. THOSE ARE THE ONES I
21	WANTED TO ADDRESS. OKAY.
22	ALL RIGHT. I'LL HEAR FROM MISS GRAY. ARE YOU
23	GOING FIRST, OR IS MR. THOMPSON?
24	MS. GRAY: I WILL BE GOING FIRST ON THE SUBJECT
25	OF PREEMPTION, YOUR HONOR.
26	THE COURT: OKAY.

MS. GRAY: YOUR HONOR, IN THIS CASE, VIZIO
ENTERED INTO A CONTRACT THAT ALLOWED IT THE FREEDOM TO
INCORPORATE SOFTWARE DEVELOPED BY OTHERS INTO VIZIO'S
SMART TVS, IN EXCHANGE ONLY FOR A PROMISE THAT IT PROVIDE
COPIES OF THAT SOFTWARE TO CONSUMERS WHO BOUGHT THE TVS.

2.0

VIZIO NOW ARGUES THAT IT CAN BREACH THIS

CONTRACT WITH IMPUNITY, BECAUSE ANY CLAIM FOR BREACH

WOULD BE PREEMPTED BY COPYRIGHT LAW, AND BECAUSE THE

PARTIES WHO ARE HARMED BY THE BREACH LACK STANDING TO

ENFORCE THEIR RIGHTS.

THAT IS NOT AND CANNOT BE THE LAW. THREE

DIFFERENT COURTS HAVE AGREED WITH CONSERVANCY'S POSITION

UNDER IDENTICAL OR VIRTUALLY IDENTICAL FACTS. IN FACT,

THE ONLY COURTS THAT WE KNOW OF TO ADDRESS THE SPECIFIC

GPL PROVISION AT ISSUE HERE HAVE AGREED WITH OUR

POSITION, AND HAVE SPECIFICALLY REJECTED THE POSITION

THAT VIZIO WOULD HAVE THIS COURT ADOPT TODAY, INCLUDING

THE FEDERAL DISTRICT COURT ON THE REMAND ORDER IN THIS

CASE, WHICH IS HIGHLY PERSUASIVE.

NOW, VIZIO SAYS THIS SHOULD BE A COPYRIGHT
INFRINGEMENT CLAIM, NOT A CONTRACT CLAIM. AND THEY HAVE
POINTED OUT THAT THE COPYRIGHT ACT PREEMPTS STATE LAW
CLAIMS THAT ASSERT RIGHTS THAT ARE EQUIVALENT TO
COPYRIGHT. CALIFORNIA COURTS LOOK AT WHETHER A CLAIM HAS
THIS EXTRA ELEMENT, RIGHT, THAT IS QUALITATIVELY
DIFFERENT FROM THE ELEMENTS OF A COPYRIGHT CLAIM.

IN A BREACH OF CONTRACT CASE, THAT EXTRA ELEMENT 1 2 HAS TO BE A CONTRACTUAL PROMISE CREATING A RIGHT THAT DOES NOT EXIST UNDER FEDERAL COPYRIGHT LAW. 3 AND I WANT TO ADD A LITTLE, A LITTLE ADDITIONAL 4 5 INFORMATION, AND A LITTLE SHADING ON MR. WILLIAMS'S 6 DESCRIPTION OF THE RIGHTS PROTECTED BY THE COPYRIGHT ACT, 7 SO WE'RE REALLY CLEAR ON WHAT A COPYRIGHT CLAIM IS, VERSUS WHAT THE KIND OF BREACH OF CONTRACT CLAIM INVOLVED 8 HERE IS. 9 COPYRIGHT ACT SECTION 106 RESERVES SIX RIGHTS OF 10 11 A COPYRIGHT OWNER. REPRODUCTION. THAT'S MAKING COPIES, RIGHT? ADAPTATION, WHICH THE STATUTE REFERS TO AS MAKING 12 13 A DERIVATIVE WORK, TAKING ONE WORK AND PUTTING IT INTO 14 ANOTHER FORM. LIKE YOU TAKE THE SPIDERMAN COMIC BOOK AND 15 MAKE A MOVIE OUT OF IT, RIGHT? THAT'S A DERIVATIVE WORK. 16 REPRODUCTION, ADAPTATION, DISTRIBUTION, PUBLIC 17 PERFORMANCE, PUBLIC DISPLAY OF THE WORK. AND LASTLY, 18 PUBLIC PERFORMANCE OF A SOUND RECORDING BY DIGITAL AUDIO 19 TRANSMISSION. 2.0 THOSE ARE THE SIX RIGHTS THAT ARE RESERVED TO 21 THE COPYRIGHT OWNER. ONLY THE COPYRIGHT OWNER CAN ENGAGE 22 IN THESE ACTS. 23 YOUR HONOR, I WOULD LIKE TO REFER TO COPYRIGHT. 24 I DO A LOT OF PUBLIC SPEAKING ON COPYRIGHT, AND I GENERALLY REFER TO COPYRIGHT AS THE HAMMER TIME RULE. 25

REMEMBER THAT SONG FROM THE '90'S? HAMMER, MC HAMMER,

1	"CAN'T TOUCH THIS"? COPYRIGHT IS THE "CAN'T TOUCH THIS'
2	RULE, BECAUSE IT ALLOWS THE COPYRIGHT OWNER TO TELL THE
3	REST OF THE WORLD, YOU CAN'T TOUCH THIS. IT IS AN
4	EXCLUSIONARY RULE. EVERYONE ELSE IS EXCLUDED FROM
5	ENGAGING IN THOSE ACTS, REPRODUCTION, ET CETERA, UNLESS
6	THEY HAVE PERMISSION FROM THE COPYRIGHT OWNER.

2.0

AND THE COPYRIGHT ACT PREEMPTS CLAIMS THAT STEM FROM THOSE ACTS, THOSE SIX ACTS, AND THOSE SIX ACTS ALONE, REGARDLESS OF HOW THEY'RE CHARACTERIZED.

NOW, WHAT THE COPYRIGHT ACT DOESN'T DO, AND YOU WILL HAVE HEARD THAT THIS WAS NOT IN THE LIST OF SIX THINGS THAT I JUST RATTLED OFF. IT DOESN'T EMPOWER THE COPYRIGHT OWNER TO REQUIRE A THIRD PARTY TO ENGAGE IN ANY AFFIRMATIVE ACTS.

FOR EXAMPLE, THE COPYRIGHT ACT DOESN'T EMPOWER

MC HAMMER TO FORCE ME TO GIVE THE COURT A COPY OF "CAN'T

TOUCH THIS," BECAUSE I REFERRED TO IT IN THIS PROCEEDING,

RIGHT?

IF A COPYRIGHT OWNER WANTS TO REQUIRE A THIRD PARTY TO DO AN AFFIRMATIVE ACT WITH A COPYRIGHTED WORK, THAT RIGHT HAS TO COME FROM SOMEWHERE OTHER THAN THE COPYRIGHT ACT. AND HERE, THAT'S THE CONTRACT, THE GPL. BUT THERE ARE TWO GENERAL PUBLIC LICENSES INVOLVED HERE, BUT I'M GOING TO REFER TO THEM COLLECTIVELY AS THE GPL, BECAUSE THEY'VE GOT ESSENTIALLY THE SAME LANGUAGE IN THEM.

1 SO THE RIGHT TO SOURCE CODE HERE, THE 2 DISTRIBUTION RIGHT THAT WE ARE TALKING ABOUT, IS GRANTED BY THE GPL, AND NOT BY THE COPYRIGHT ACT. NOW, COPYRIGHT 3 PREEMPTION OF CLAIMS IS VERY WELL ILLUSTRATED IN THE 4 KABEHIE CASE, WHICH INVOLVED A CONTRACT FOR THE PURCHASE 5 6 OF EXCLUSIVE RIGHTS TO MUSIC COMPOSITIONS. AND THERE WE 7 ARE TALKING ABOUT, ESSENTIALLY, LIKE, SHEET MUSIC TO 8 SONGS. AND THERE WERE A NUMBER OF DIFFERENT COPYRIGHT 9 10 AND CONTRACT CLAIMS INVOLVED THERE, AND THE COURT CONDUCTED A FACT-SPECIFIC ANALYSIS OF THE PARTICULAR 11 PROMISES ALLEGED TO HAVE BEEN BREACHED, AND THE 12 13 PARTICULAR RIGHTS ALLEGED TO HAVE BEEN VIOLATED. AND THIS INVOLVED THE SALE OF THE SELLER, OF THE COPYRIGHT 14 15 OWNER, OF THESE RIGHTS IN THESE COMPOSITIONS TO A BUYER. 16 AND AFTER THE SALE OCCURRED, THE SELLER 17 CONTINUED TO COPY AND DISTRIBUTE THE COMPOSITIONS AT 18 ISSUE, EVEN THOUGH THEY HAD ALREADY PURPORTEDLY SOLD THEM 19 TO SOMEONE ELSE. 2.0 AND THAT WAS A COPYRIGHT CLAIM, RIGHT? BECAUSE 21 REMEMBER, REPRODUCTION AND DISTRIBUTION ARE RIGHTS 22 PROTECTED BY THE COPYRIGHT ACT. SO THAT WAS SIMPLY THE 23 REPRODUCTION AND DISTRIBUTION RIGHTS PROTECTED BY

COPYRIGHT LAW. THAT WAS A COPYRIGHT CLAIM, AND WAS

REPRODUCTION AND DISTRIBUTION OF THOSE COMPOSITIONS.

PREEMPTED. NO BREACH OF CONTRACT CLAIM FOR THE

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1	BY CONTRAST, THERE WAS ANOTHER ISSUE IN DISPUTE
2	IN THAT CASE, AND THAT WAS, THE SELLER WAS REQUIRED,
3	UNDER THE TERMS OF THE SALE CONTRACT, TO DELIVER A COPY
4	OF WHAT WE CALL THE MASTER RECORDING. THAT'S THE
5	ORIGINAL RECORDING OF THE SONG, RIGHT? DELIVER A COPY OF
6	THE MASTER RECORDING TO THE BUYER. AND THEY DIDN'T DO
7	THAT. BUT THAT'S NOT SOMETHING THAT YOU FIND IN THE
8	COPYRIGHT ACT. THAT'S NOT A RIGHT IN THE COPYRIGHT ACT.
9	THAT WAS A RIGHT THAT WAS GRANTED TO THE BUYER IN THE
10	CONTRACT.
11	AND SO THAT CLAIM WAS, INVOLVED AN EXTRA
12	ELEMENT, AND WAS QUALITATIVELY DIFFERENT FROM A COPYRIGHT
13	INFRINGEMENT CLAIM. SO THAT CLAIM WAS NOT PREEMPTED.
14	AND SO THE KABEHIE COURT LISTED A WHOLE BUNCH OF
15	EXAMPLES OF THE TYPES OF CLAIMS THAT ARE PREEMPTED. AND
16	IF YOU LOOK AT THEM, YOU'LL SEE THEY ALL GO BACK TO THAT
17	LIST OF SIX THINGS THAT I MENTIONED AT THE OUTSET.
18	VIOLATION OF EXCLUSIVE EXHIBITION RIGHTS. THAT'S
19	DISTRIBUTION AND DISPLAY.
20	THE RELEASE OF PROTECTED IMAGES TO THE PUBLIC.
21	THAT'S REPRODUCTION AND DISTRIBUTION.
22	COPYING OF A SCRIPT, THAT'S REPRODUCTION. THESE
23	ALL IMPLICATE SECTION 106 RIGHTS.
24	THE EXAMPLES THAT COURT GAVE OF WHAT CONTRACT
25	CLAIMS THAT ARE NOT PREEMPTED: FAILURE TO PAY ROYALTIES,
26	RIGHT? A PAYMENT REQUIRED BY A CONTRACT. AN

1 UNAUTHORIZED DISCLOSURE OF CONFIDENTIAL INFORMATION
2 PROTECTED BY A CONTRACT. AND SO ON AND SO FORTH.

2.0

THERE ARE A NUMBER OF GREAT EXAMPLES IN THAT

CASE. BUT THE COPYRIGHT ACT DOESN'T GRANT COPYRIGHT

OWNERS THESE RIGHTS; CONTRACTS DO.

NOW, I WANT TO TALK A LITTLE BIT ABOUT THE

COURTS THAT HAVE ADDRESSED PREEMPTION IN THIS CONTEXT,

BECAUSE WE HAVE A COUPLE OF VERY ON-POINT CASES WHERE

COURTS HAVE ADDRESSED PREEMPTION ISSUES, SPECIFICALLY

APPLYING TO THE GPL AND THE DISTRIBUTION OF SOURCE CODES,

WHICH IS EXACTLY WHAT WE HAVE HERE.

AND ONE IS THE VERSATA CASE, WHICH IS CITED IN OUR BRIEF, WHERE THE PLAINTIFF INCORPORATED A GPL-GOVERNED PROGRAM INTO ITS OWN SOFTWARE, SUED A LICENSEE OF ITS SOFTWARE FOR BREACH OF A BROADER MASTER LICENSE AGREEMENT, AND THE LICENSEE COUNTERCLAIMED FOR BREACH OF THE GPL'S SOURCE CODE PROVISION, EVEN THOUGH THE LICENSEE WASN'T A PARTY TO THAT CONTRACT.

AND THE COURT SAID THE SOURCE CODE PROVISION WAS AN ADDITIONAL OBLIGATION IN THAT CONTRACT. IT WAS AN AFFIRMATIVE PROMISE TO MAKE, FOR THE LICENSEE TO MAKE ITS OWN SOFTWARE OPEN SOURCE, BECAUSE IT USED OPEN SOURCE MATERIAL IN ITS SOFTWARE.

THE GPL SOURCE CODE PROVISION CREATES WHAT THAT COURT VERY APTLY TERMED, ESSENTIALLY, OPPOSITE RIGHTS FROM THOSE CREATED BY COPYRIGHT, AND THIS GOES BACK TO

THE, YOU KNOW, THE EXCLUSIONARY RULE. AND COPYRIGHT
ALLOWS YOU TO EXCLUDE, BUT DOESN'T GIVE THE COPYRIGHT
OWNER THE POWER TO FORCE SOMEBODY ELSE TO DISTRIBUTE
SOMETHING. THAT COMES FROM THE CONTRACT.

2.0

SO THE COURT SAID THE VIRAL COMPONENT REQUIRING
THE FURTHER DISTRIBUTION OF THE GPL IS SEPARATE AND
DISTINCT FROM COPYRIGHT OBLIGATIONS, AND AGREED WITH THE
CONSERVANCY'S POSITION IN THIS CASE.

THE OTHER CASE IS ARTIFEX VERSUS HANCOM, A

NORTHERN DISTRICT OF CALIFORNIA CASE IN WHICH THE

DEFENDANT INCORPORATED GPL SOFTWARE INTO A LARGER

PROGRAM, FAILED TO DISTRIBUTE ITS SOFTWARE WITH THE

SOURCE CODE. AND THE COURT HELD THAT THE FAILURE TO

DISCLOSE THAT SOURCE CODE WAS THE REQUIRED EXTRA ELEMENT,

IN ADDITION TO REPRODUCTION OR DISTRIBUTION, SUFFICIENT

TO BRING THE CLAIM OUT OF THE COPYRIGHT ACT, AND TO

CREATE A NONPREEMPTIVE CONTRACT CLAIM.

THE COURT FOLLOWED THE VERSATA COURT, REJECTED

JACOBSEN VERSUS KATZER, WHICH VIZIO RELIES ON. AND

WHAT'S PARTICULARLY APT AND APPLICABLE ABOUT THIS CASE IS

IT REALLY HIGHLIGHTS THE DISTINCTION BETWEEN THE

COPYRIGHT AND THE CONTRACT CLAIMS. BECAUSE IN THAT CASE,

THE PLAINTIFF ALSO BROUGHT, IN ADDITION TO THAT BREACH OF

CONTRACT CLAIM FOR FAILURE TO DISTRIBUTE THE SOURCE CODE,

ALSO BROUGHT A COPYRIGHT INFRINGEMENT CLAIM, BECAUSE THE

BREACH OF THE LICENSE EFFECTIVELY TERMINATED THE LICENSE.

SO AS A COPYRIGHT OWNER, THE PLAINTIFF SUED FOR COPYRIGHT
INFRINGEMENT TO STOP THE DEFENDANT FROM CONTINUING TO
DISTRIBUTE THE SOFTWARE, AND FOR BREACH OF THE SOURCE
CODE PROVISION FOR THEIR FAILURE TO DISTRIBUTE THE SOURCE
CODE, AS REQUIRED UNDER THE GPL. THESE TWO CLAIMS CAN,
AND DO, ARISE FROM THE SAME CONDUCT. AND THAT WAS
RECOGNIZED BY THE ARTIFEX COURT.

2.0

AND THE FEDERAL DISTRICT COURT, ON THE REMAND

ORDER, ALSO FOUND THESE CASES PERSUASIVE, AND RELIED ON

THEM IN FINDING THAT REMAND WAS APPROPRIATE HERE, BECAUSE

THERE IS NO PREEMPTION.

I WANT TO TALK FOR A MINUTE ABOUT THE JACOBSEN,
THE FEDERAL CIRCUIT OPINION IN JACOBSEN, WHICH
MR. WILLIAMS TALKED ABOUT ALSO. THAT, SIGNIFICANTLY, WAS
NOT A PREEMPTION CASE. AND THERE IS A BIG DIFFERENCE
BETWEEN THAT CASE AND THIS CASE FACTUALLY IN THAT THE
PLAINTIFF IN THAT CASE WAS A COPYRIGHT OWNER, LIKE THE
PLAINTIFF IN THE ARTIFEX CASE, RIGHT? PLAINTIFF WAS A
COPYRIGHT OWNER, AND BROUGHT A CLAIM FOR COPYRIGHT
INFRINGEMENT, SEEKING A PRELIMINARY INJUNCTION TO STOP
THE DEFENDANT FROM CONTINUING TO EXPLOIT THE SOFTWARE AT
ISSUE.

THAT WAS AN OPEN SOURCE LICENSE CALLED THE
ARTISTIC LICENSE, WHICH REQUIRED THE LICENSEE, WHEN
MODIFYING THE SOFTWARE, TO INCLUDE COPYRIGHT NOTICES AND
TRACK CHANGES WHEN DISTRIBUTING THE SOFTWARE.

THE TRIAL COURT HAD SAID, ON A MOTION FOR

PRELIMINARY INJUNCTION, THAT YOU HAVE A CLAIM FOR BREACH

OF CONTRACT, THE PLAINTIFF APPEALED, AND THE FEDERAL

CIRCUIT HELD THAT THE PLAINTIFF HAD MADE OUT A PRIMA

FACIE CASE FOR COPYRIGHT INFRINGEMENT.

2.0

AND THAT WAS THE CONTEXT WITHIN WHICH THAT COURT EVALUATED WHETHER THE USE BY THE DEFENDANTS WAS OUTSIDE THE SCOPE OF THE LICENSE. THE QUESTION WAS WHETHER THERE WAS A LIKELIHOOD OF SUCCESS ON THE MERITS OF COPYRIGHT INFRINGEMENT VERSUS A CONTRACTUAL DEFENSE. IT WAS NOT A PREEMPTION DECISION. THE NATURE OF THAT CLAIM SOUNDED, IN COPYRIGHT, UNLIKE THE NATURE OF THE CLAIM HERE.

BEFORE I CONCLUDE, I WANT TO TALK A LITTLE BIT
ABOUT THIS ISSUE OF WHEN SOMETHING IS A CONDITION VERSUS
WHEN SOMETHING IS A COVENANT. BECAUSE HONESTLY, YOUR
HONOR, THAT JUST DOESN'T EVEN MATTER HERE. IT DOESN'T
MATTER WHETHER THESE PROVISIONS ARE CONDITIONS OR
COVENANTS, BECAUSE FOR ONE THING, THIS IS FOR TWO
REASONS.

THE FIRST IS THAT UNDER CALIFORNIA LAW, A
CONTRACTUAL PROVISION CAN BE BOTH A CONDITION AND A
COVENANT. THAT'S VERY CLEAR. AND WHERE A CONDITION IS
WITHIN THE CONTROL OF THE LICENSEE, IT IS TREATED AS A
COVENANT THAT THEY PROMISE TO FULFILL. AND WE CITE SOME
LANGUAGE FROM, YOU KNOW, BLACK LETTER LAW, FROM
WILLISTON, WHICH MAKES THAT POINT VERY NICELY.

{WHEN THE OCCURRENCE OF THE CONDITION IS LARGELY OR EXCLUSIVELY WITHIN THE CONTROL OF ONE PARTY, SO THAT THE OTHER PARTY IS SIGNIFICANTLY OR TOTALLY DEPENDENT ON THE CONTROLLING PARTY, THE EXPRESS LANGUAGE OF CONDITION WILL TYPICALLY GIVE RISE TO AN IMPLIED PROMISE OF ONE SORT OR ANOTHER." THAT'S WILLISTON, SECTION 3815, WHICH IS CITED IN OUR OPPOSITION BRIEF.

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SO A PROVISION CAN BE BOTH A CONDITION AND A COVENANT AT THE SAME TIME. AND IMPORTANTLY, EVEN IF THIS WERE A CONDITION, THAT'S NOT THE END OF THE QUESTION. AND THIS IS THE SECOND REASON WHY IT DOESN'T MATTER WHETHER THIS IS A CONDITION OR A COVENANT. IT'S BECAUSE SLAPPING THE LABEL OF A CONDITION ON SOMETHING ISN'T THE END OF THE INQUIRY. AND THE ARGUMENT IS A LITTLE REDUCTIVE TO SAY THAT IF IT'S A CONDITION, IT'S AUTOMATICALLY COPYRIGHT INFRINGEMENT, BECAUSE THE CASE LAW SHOWS, INCLUDING THE CASE LAW CITED BY VIZIO IN THIS CASE, SAYS THAT YOU HAVE TO, IN ORDER FOR THERE TO BE A COPYRIGHT CLAIM, THE COPYING HAS TO BE GROUNDED, OR THE CLAIM HAS TO BE, THE BREACHED PROVISION HAS TO BE A CONDITION, AND THAT CONDITION AND THE COMPLAINT MUST BE GROUNDED IN AN EXCLUSIVE RIGHT OF COPYRIGHT. GOING BACK TO THE BIG SIX THAT I CITED AT THE BEGINNING; REPRODUCTION, DISTRIBUTION, ET CETERA, RIGHT? SO OTHERWISE, AND, YOU KNOW, IN THE MDY CASE,

THE NINTH CIRCUIT TALKED ABOUT THIS, THEY WERE

1 INTERPRETING A CONTRACT UNDER DELAWARE LAW, NOT 2 CALIFORNIA LAW, SO IT'S A LITTLE DIFFERENT FROM OUR CASE. THE CONTRACT INTERPRETATION ISSUES THERE ARE DIFFERENT 3 FROM IN OUR CASE. BUT THEY BASICALLY, THEY SAID THAT IF 4 THEY WERE TO RULE ANY DIFFERENTLY AROUND THIS ISSUE OF 5 WHETHER SOMETHING IS A COPYRIGHT INFRINGEMENT CLAIM OR A 6 7 CONTRACT CLAIM, ANY COPYRIGHT OWNER COULD DESIGNATE ANY DISFAVORED CONDUCT DURING THE USE OF SOFTWARE AS 8 COPYRIGHT INFRINGEMENT BY PURPORTING TO CONDITION THE 9 10 LICENSE ON IT, ON THE PLAINTIFF -- ON THE PARTY NOT DOING AND IN THAT CASE, IT WAS AN ONLINE GAME THAT PARTIES 11 WERE PLAYING, AND THE CONDUCT AT ISSUE WAS THE USE OF A 12 13 BOT TO ALLOW USERS TO PLAY THE GAME, RIGHT, TO ADVANCE IN 14 THE GAME MORE QUICKLY. AND THAT WAS PROHIBITED BY THE 15 TERMS OF USE OF THE GAME. 16 AND IN THE LITIGATION, THE DISPUTE WAS WHETHER 17 OR NOT THE BREACH OF THAT PROVISION OF THE TERMS OF USE 18 GAVE RISE TO A COPYRIGHT CLAIM OR A CONTRACT CLAIM. 19 THE COURT CONCLUDED IT GAVE RISE TO A CONTRACT CLAIM, 2.0 BECAUSE USING THE BOT TO PLAY THE GAME, VIOLATING THE 21 PROVISION THAT SAYS YOU CAN'T USE A BOT TO PLAY THIS 22 GAME, DOESN'T IMPLICATE ANY OF THE BIG SIX. IT'S NOT 23 REPRODUCTION, IT'S NOT DISTRIBUTION, IT'S NOT, ET CETERA,

SO TO SIMPLY SAY, WELL, IT'S A CONDITION, AND
THERE IS LANGUAGE OF CONDITION IN THIS AGREEMENT, ISN'T

ET CETERA, ANY OF THOSE THINGS.

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1	THE END OF THE STORY. BECAUSE IT CAN BE A CONDITION AND
2	A COVENANT, AND BECAUSE THE ACTUAL ACT THAT IS COMPLAINED
3	OF HERE ISN'T ONE OF THE BIG SIX.
4	THE COMPLAINT HERE IS THAT IS THAT VIZIO
5	INCORPORATED THIS SOFTWARE INTO ITS TV'S, AND FAILED TO
6	DISTRIBUTE THE SOURCE CODE DOWNSTREAM TO BUYERS OF THE
7	TV'S. IT IS THE FAILURE OF THE DISTRIBUTION, IT IS THE
8	FAILURE TO PROVIDE A COPY OF THE SOURCE CODE THAT IS THE
9	CLAIM HERE, NOT THE REPRODUCTION OF THE SOFTWARE ITSELF.
10	AND THAT IS A RIGHT THAT'S GRANTED UNDER THE
11	CONTRACT AND NOT UNDER THE COPYRIGHT ACT. AND THAT IS
12	WHY THERE IS NO PREEMPTION HERE.
13	IF YOUR HONOR HAS ANY QUESTIONS NOW, I WOULD BE
14	HAPPY TO ADDRESS THEM. OTHERWISE, I'LL TURN IT OVER TO
15	MR. THOMPSON TO TALK ABOUT
16	THE COURT: OKAY.
17	MS. GRAY: THE THIRD-PARTY BENEFICIARY
18	ISSUES.
19	THE COURT: I DON'T HAVE ANY QUESTIONS, BUT I'M
20	AT 12:20. I'M SORRY; CAN YOU COME BACK AT 1:30?
21	MS. GRAY: ABSOLUTELY.
22	MR. WILLIAMS: SURE.
23	(LUNCH RECESS.)
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26	

1	SANTA ANA, CALIFORNIA - THURSDAY, OCTOBER 5, 2023
2	AFTERNOON SESSION
3	000
4	THE COURT: WE'RE BACK ON THE SOFTWARE FREEDOM
5	CONSERVANCY CASE.
6	IS IT MR. THOMAS?
7	MR. THOMPSON: THOMPSON.
8	THE COURT: GO AHEAD.
9	MR. THOMPSON: GOOD AFTERNOON, YOUR HONOR.
10	VIZIO DOES NOT DISPUTE THAT THE RECIPIENTS OF LICENSED
11	SOFTWARE HAVE A RIGHT TO SOURCE CODE UNDER THE GPL'S, AND
12	YET VIZIO ARGUES THAT AS A MATTER OF LAW THOSE RECIPIENTS
13	OF LICENSED SOFTWARE MAY NOT ENFORCE THE RIGHT THAT WE
14	INDISPUTABLY ENJOY, BECAUSE SOMEHOW IT WOULD BE
15	INCONSISTENT WITH THE OBJECTIVES OF THE CONTRACT AND THE
16	REASONABLE EXPECTATIONS OF THE CONTRACTING PARTIES.
17	NOTHING COULD BE FURTHER FROM THE TRUTH. THE
18	REQUIREMENT THAT THIRD-PARTY ENFORCEMENT BE CONSISTENT
19	WITH THE OBJECTIVES OF THE CONTRACT COMES FROM
20	PROFESSOR EISENBERG, AND THE SUPREME COURT GAVE HIM
21	CREDIT FOR THAT TEST IN THE GOONEWARDENE CASE.
22	IN THE SAME LAW REVIEW ARTICLE WHERE PROFESSOR
23	EISENBERG ANNOUNCED THAT TEST, HE ALSO WROTE, "WHEN THE
24	PURPOSE OF THE PROMISEE IS TO CONFER A RIGHT ON THE
25	BENEFICIARY, ENFORCEMENT IS APPROPRIATE." THAT'S AT
26	PAGE 1374 OF HIS LAW REVIEW ARTICLE.

IN THE PRIOR PAGE, PAGE 1373, HE WROTE, "THIS IS A WELL-ESTABLISHED CATEGORY OF THIRD PARTIES WHO CAN ENFORCE CONTRACTS."

2.0

NOW, WE CITED A SIMILAR STATEMENT IN OUR BRIEF

AT PAGE 1391, "ALLOWING DONEE BENEFICIARIES TO ENFORCE

CONTRACTS UNDER WHICH THEY WILL BENEFIT IS A NECESSARY OR

IMPORTANT MEANS OF EFFECTUATING THE PERFORMANCE

OBJECTIVES OF THE PARTIES TO THE CONTRACT."

SO HERE, WE HAVE A STATEMENT BY THE PERSON WHO CAME UP WITH THE TEST, WHO IS CREDITED BY THE SUPREME COURT AS HAVING DONE SO, WHO IS SPEAKING TO THE PRECISE ISSUE IN THIS CASE, AND WHO IS SAYING, WHEN THE PURPOSE OF THE CONTRACT IS TO CONFER A RIGHT ON THE THIRD PARTY, ENFORCEMENT IS APPROPRIATE. NOW, THAT SHOULD RESOLVE THE ISSUE.

NOW, IT ALSO BEARS EMPHASIZING THAT THE PURPOSE OF THIS CONTRACT IS TO PROMOTE THE DEVELOPMENT OF FREE AND OPEN SOURCE SOFTWARE. AND FREE SHARING OF SOURCE CODE IS ESSENTIAL TO THAT PURPOSE AND OBJECTIVE, BECAUSE THE SOURCE CODE IS NEEDED TO MODIFY AND CONTINUE THE DEVELOPMENT OF THE SOFTWARE.

THE REQUIREMENT THAT THIRD-PARTY ENFORCEMENT BE
CONSISTENT WITH THE REASONABLE EXPECTATIONS OF THE
CONTRACTING PARTIES REFLECTS THE TEACHING OF PRIOR
CALIFORNIA DECISIONS. THAT IS ALSO A QUOTE FROM THE
GOONEWARDENE CASE. AND VIZIO HAS CITED NO SUCH PRIOR

CALIFORNIA DECISIONS IN SUPPORT OF ITS POSITION THAT --1 IN SUPPORT OF ITS POSITION IN THIS CASE.

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RATHER, IT HAS CITED A SERIES OF INAPPOSITE SUBSECUENT CALIFORNIA DECISIONS UNDER WHICH THE THIRD PARTY DID NOT HAVE ANY RIGHT UNDER THE CONTRACT IT WAS SEEKING TO ENFORCE.

IN THE WEXLER CASE, FOR EXAMPLE, THE DAUGHTER HAD NO RIGHT UNDER THE INSURANCE CONTRACT SHE WAS SEEKING TO ENFORCE, BECAUSE THEY ONLY COVERED NAMED INSUREDS AND SHE WAS NOT A NAMED INSURED.

IN THE CITY OF OAKLAND, THE OAKLAND RAIDERS CASE, THE CITY OF OAKLAND HAD NO RIGHT UNDER THE NFL RELOCATION POLICY, BECAUSE THAT POLICY LEFT UNFETTERED DISCRETION TO THE NFL TEAM MEMBERS. THAT'S AN EXACT QUOTE FROM THE CASE. THEY HAD UNFETTERED DISCRETION TO DECIDE ON TEAM RELOCATIONS.

SO OF COURSE, THE CITY COULD NOT INTERFERE WITH THEIR UNFETTERED DISCRETION BY DEMANDING A CERTAIN OUTCOME UNDER THE RELOCATION POLICY.

THIS CASE IS DIAMETRICALLY OPPOSED. VIZIO HAS NO DISCRETION ABOUT WHETHER TO SHARE SOURCE CODE. CONTRACT CLEARLY REQUIRES IT, AND THE CONTRACT CLEARLY CONFERS A RIGHT ON THE RECIPIENTS OF LICENSED SOFTWARE TO DEMAND THE SOURCE CODE.

SO THAT'S, THESE ARE THE TWO, THE TWO PRONGS OF THE THIRD PART OF THE TEST. THE FIRST PART AND THE

	L	SECOND PART ARE NOT IN DISPUTE, AND THE TWO PRONGS OF THE
	2	THIRD PART ARE EASILY RESOLVED BY THE FACT THAT
SOURCE CODE, AND THERE IS NO CALIFORNIA DECISION TO	3	RECIPIENTS OF LICENSED SOFTWARE HAVE A RIGHT TO THE
	4	SOURCE CODE, AND THERE IS NO CALIFORNIA DECISION THAT

WOULD DENY THEM THAT RIGHT.

2.0

NOW, WHEN A PARTY HAS A RIGHT, IT IS ONLY
REASONABLE TO EXPECT THAT IT SHOULD ALSO HAVE A REMEDY,
AND A MEANS OF ENFORCING THAT RIGHT. BECAUSE A RIGHT
WITHOUT A REMEDY AND A RIGHT WITHOUT A MEANS OF
ENFORCEMENT IS NOT A RIGHT AT ALL. IT'S WORTHLESS,
FRANKLY.

AND VIZIO IS TAKING THE POSITION THAT

ENFORCEMENT BY COPYRIGHT HOLDERS OUGHT TO BE SUFFICIENT,

BUT COPYRIGHT IS A DIFFERENT RIGHT. COPYRIGHT HOLDERS

ARE DIFFERENT PLAINTIFFS. WE ARE NOT ASSERTING COPYRIGHT

IN THIS CASE. WHAT WE ARE ASSERTING IS OUR RIGHT TO

SOURCE CODE, WHICH EXISTS UNDER THE TERMS OF THE

CONTRACT.

NOW, THERE ARE ADDITIONAL REASONS ADDRESSED IN
OUR BRIEF WHY ENFORCEMENT BY COPYRIGHT HOLDERS IS NOT
SUFFICIENT. THEY MAY NOT KNOW OF THE BREACH. THEY MAY
NOT BE MOTIVATED, WILL NOT BE MOTIVATED TO ENFORCE THE
BREACH. AND IMPORTANTLY, THEY, IT IS NOT AT ALL CLEAR
HOW THEY COULD SHOW ELIGIBILITY FOR SPECIFIC PERFORMANCE
FOR INJUNCTIVE RELIEF. SPECIFICALLY, HOW WOULD THEY SHOW
IRREPARABLE HARM?

THEY ARE FREELY ALLOWING OTHERS TO USE THE COPYRIGHT UNDER THE TERMS OF THE CONTRACT. ONE PARTY CONTINUES TO USE THE COPYRIGHT, BUT NOT IN COMPLIANCE WITH THE TERMS OF THE CONTRACT, AND THE COPYRIGHT HOLDER SUES FOR INJUNCTIVE RELIEF. HOW DO THEY SHOW IRREPARABLE HARM?

2.0

WELL, IN THIS CASE THE PARTY THAT IS HARMED IS
THE PARTY DEMANDING THE SOURCE CODE, DENIED THE RIGHT TO
SOURCE CODE. AND WE NEED THE SOURCE CODE TO MODIFY THE
SOFTWARE. THAT IS OUR IRREPARABLE HARM.

SO THIS IS A CLEAR FORM OF HARM THAT EXISTS IN THIS CASE, AND IT'S NOT CLEAR HOW A COPYRIGHT HOLDER COULD SHOW THAT HARM, EVEN IF THEY OTHERWISE WERE INTERESTED AND MOTIVATED IN ENFORCING A BREACH, AND ALSO ABLE TO DO SO.

NOW, IT ALSO BEARS MENTION THAT THE GPL'S

SPECIFICALLY STATE THAT THE PARTIES ARE NOT RESPONSIBLE

FOR ENFORCING COMPLIANCE BY OTHERS. IT'S IN SECTION SIX

OF THE GPL. AND SO IF WE WERE TO ASK A COPYRIGHT HOLDER

TO ENFORCE, THEY COULD EASILY SAY NO. IT'S ENTIRELY AT

THEIR DISCRETION, AND THEY COULD POINT TO THE CONTRACT

AND SAY, WE DON'T HAVE TO ENFORCE UNDER THE TERMS OF THIS

CONTRACT.

AND WHAT KIND OF RIGHT IS THAT, IF WE HAVE TO PLEAD FOR SOMEONE ELSE TO ENFORCE THEIR RIGHTS, AND IF THEY CAN SIMPLY REFUSE TO DO IT? THAT'S NOT A RIGHT AT

ALL.

2.0

AND YET, THE PREAMBLE OF THE GPL'S IS VERY

CLEAR, THAT RECIPIENTS OF LICENSED SOFTWARE HAVE A RIGHT

TO THE SOURCE CODE, WHICH IS ESSENTIAL TO FREE AND OPEN

SOURCE SOFTWARE DEVELOPMENT.

NOW, VIZIO HAS ALSO TAKEN THE POSITION THAT IF
YOU RULE IN OUR FAVOR IN THIS CASE, IT WILL LEAD TO A
PROLIFERATION OF LITIGATION. AND THAT IS SIMPLY NOT TRUE
FOR ANY NUMBER OF REASONS. NOW, IT IS NO COINCIDENCE
THAT THE PLAINTIFF IN THIS CASE IS A NONPROFIT. WHAT
WE'RE SEEKING IS SOURCE CODE. THERE IS NO FINANCIAL
INCENTIVE HERE, APART FROM OUR DESIRE TO VINDICATE THE
GPL'S AND THE RIGHT TO SOURCE CODE UNDER THE GPL'S. AND
IF YOU RULE IN OUR FAVOR, VIZIO HAS TOLD YOU THERE WOULD
BE A PARADE OF HORRIBLES.

WELL, IT SIMPLY IS FANCIFUL THINKING, BECAUSE
THERE IS NO FINANCIAL INCENTIVE. IT'S EXPENSIVE TO FILE
LITIGATION, TO SEE IT THROUGH. IT'S COSTLY, IT'S
BURDENSOME. AND HERE THERE IS NO FINANCIAL INCENTIVE.
THERE IS SIMPLY A DEMAND THAT VIZIO ABIDE BY THEIR
OBLIGATIONS UNDER THE CONTRACT, WHICH THEY AGREED TO, AND
WHICH ARE NECESSARY FOR THE DEVELOPMENT OF FREE AND OPEN
SOURCE SOFTWARE.

NOW, THE RECOGNITION OF A LEGAL RIGHT ALWAYS

INVOLVES SOME RISK OF LITIGATION, BUT THAT'S A PRICE WE

PAY TO LIVE IN A SOCIETY OF LAWS. HERE, THE RISK OF

LITIGATION IS VERY LOW, AND IT CERTAINLY DOES NOT JUSTIFY DENIAL, OUTRIGHT DENIAL OF A RIGHT THAT CLEARLY EXISTS UNDER THE PLAIN TERMS OF THE CONTRACT.

2.0

NOW, VIZIO HAS ALSO TAKEN THE POSITION THAT

CONSERVANCY ADMITTED THAT THIRD-PARTY ENFORCEMENT IS NOT

POSSIBLE, AND THE FREE SOFTWARE FOUNDATION IS THE PRIMARY

INTERPRETER AND ULTIMATE AUTHORITY ON THE GPL'S.

NOW, THOSE ARE INACCURATE AND IRRELEVANT LEGAL CONCLUSIONS BY NON-ATTORNEYS. THEY IMPROPERLY INVADE THE PROVINCE OF THE COURT, AND FRANKLY, THEY ARE WRONG. THE COURT IS THE PRIMARY INTERPRETER AND ULTIMATE AUTHORITY ON THE MEANING OF THE CONTRACTS IN THIS CASE. CERTAINLY, NO ONE ELSE IS, AND IT'S UP TO THE COURT TO DECIDE, WHAT DO THE CONTRACTS MEAN. AND THE CONTRACTS ON THEIR FACE CONFER A RIGHT TO SOURCE CODE. THAT RIGHT DEMANDS A REMEDY, AND IT DEMANDS A MEANS OF ENFORCEMENT.

NOW, ALSO, THESE STATEMENTS ARE IRRELEVANT TO
THE MUTUAL INTENTION OF THE PARTIES AT THE TIME OF
CONTRACTING. THESE FAQS, FOR EXAMPLE, ON THE FSF
WEBSITE, THEY WERE DOWNLOADED IN 2023. THERE IS NO
EVIDENCE THAT THEY WERE PUBLISHED ANY EARLIER THAN THAT.

ON VIZIO'S MOTION FOR SUMMARY JUDGMENT, ALL INFERENCES ARE DRAWN IN FAVOR OF THE OPPOSING PARTY; IN THIS CASE, CONSERVANCY. SO WE CAN'T ASSUME THAT ANYONE SAW THOSE FAQS ANY EARLIER THAN 2023.

MOREOVER, IT'S A VERY LONG LIST OF FAQS ON THE

WEBSITE. THIS IS NOT IN THE CONTRACT. THIS IS ON A
WEBSITE. AND IT'S, AGAIN, RATHER FANCIFUL TO IMAGINE
THAT EVERY PARTY TO THE GPL'S IS GOING TO THIS WEBSITE,
LOOKING AT A LIST OF 200-PLUS FAQS, AND FIXATING ON THE
ONE THAT CONCERNS ENFORCEMENT, MEANS OF ENFORCEMENT, IN
THE EVENT OF A BREACH.

2.0

IT'S PARTICULARLY FANCIFUL SINCE THE SUPREME
COURT HAS WRITTEN IN GOONEWARDENE THAT PARTIES, AT THE
TIME OF FORMING A CONTRACT, ARE NOT THINKING ABOUT, OR DO
NOT TYPICALLY FIXATE ON WHAT HAPPENS IN THE EVENT OF A
BREACH. RATHER, THEY ARE FIXATED ON THEIR RIGHTS AND
OBLIGATIONS UNDER THE TERMS OF THE CONTRACT ITSELF. IN
THIS CASE, THE RIGHT TO SOURCE CODE, WHICH APPEARS ON THE
FACE OF THE CONTRACT, AND NOT ON A WEBSITE.

NOW, IT ALSO DOESN'T MATTER WHETHER FSF INTENDED THIRD-PARTY ENFORCEMENT. WHAT MATTERS IS THAT THE CONTRACTS CREATE A RIGHT TO SOURCE CODE. AGAIN, THE GOONEWARDENE CASE IS EXPLICIT. "OUR CASES HAVE NOT REQUIRED A SHOWING THAT THE CONTRACTING PARTIES ACTUALLY CONSIDERED THE THIRD-PARTY ENFORCEMENT QUESTION AS A PREREQUISITE TO THE APPLICABILITY OF A THIRD-PARTY BENEFICIARY DOCTRINE: THAT'S A QUOTE FROM GOONEWARDENE, PAGE 830.

AND PROFESSOR EISENBERG AGAIN HAS WRITTEN: WHEN
THE PURPOSE OF THE PROMISEE IS TO CONFER A RIGHT ON THE
BENEFICIARY, ENFORCEMENT IS APPROPRIATE." THAT'S

	43
1	PAGE 1374 OF HIS LAW REVIEW ARTICLE.
2	SO THE CONTRACTS CONFER A RIGHT ON THE
3	BENEFICIARY. THEY ARE SILENT ON THE QUESTION OF
4	ENFORCEMENT. SO THIRD-PARTY BENEFICIARIES, RECIPIENTS OF
5	LICENSED SOFTWARE, CAN ENFORCE THEIR RIGHT UNDER THE
6	CONTRACT.
7	NOW, I ALSO WANT TO ADDRESS THE ADMISSIBILITY OF
8	THESE FSF STATEMENTS. FIRST OF ALL, THEY ARE LEGAL
9	CONCLUSIONS, CLEARLY LEGAL CONCLUSIONS, BY NON-ATTORNEYS,
10	AND INADMISSIBLE ON THAT BASIS. ADDITIONALLY, THEY ARE
11	HEARSAY. FSF IS NOT A PARTY TO THIS CASE. THERE IS NO
12	EXCEPTION TO HEARSAY. THESE ARE NOT STATEMENTS OF
13	INTENT. STATEMENTS OF INTENT CAN BE ADMISSIBLE UNDER THE
14	HEARSAY EXCEPTION WHEN THEY RELATE TO FUTURE CONDUCT,
15	CONDUCT TO BE CONDUCTED IN THE FUTURE.
16	THEY DO NOT, STATEMENTS OF INTENT ARE NOT
17	OTHERWISE ADMISSIBLE WHEN THEY RELATE TO PRIOR CONDUCT.
18	IN PARTICULAR, STATEMENTS OF BELIEF ARE NOT ADMISSIBLE.
19	MEMORY OR BELIEF. AND THAT'S IN EVIDENCE CODE
20	SECTION 1250.
21	SO WHAT WE HAVE HERE ARE STATEMENTS OF BELIEF,
22	LEGAL CONCLUSIONS IN PARTICULAR, AND THEY ARE
23	INADMISSIBLE AS LEGAL CONCLUSIONS. THEY ARE ALSO

AND IT'S ALSO WORTH EMPHASIZING THAT THEY ARE SIMPLY WRONG. THESE FAQS DON'T PURPORT TO SPEAK TO

INADMISSIBLE AS HEARSAY.

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CALIFORNIA LAW. AND HERE, WE HAVE A CALIFORNIA SUPREME COURT WHO HAS ANNOUNCED THE APPLICABLE STANDARD, CITING PROFESSOR EISENBERG, SPEAKING TO THE PRECISE FACTS AT ISSUE IN THIS CASE, AND THAT IS THE LAW THAT THE COURT SHOULD FOLLOW, NOT A MISSTATEMENT OF LAW ON AN INADMISSIBLE WEBSITE.

2.0

EVEN IF THESE STATEMENTS ARE ADMITTED, THEY ARE ENTITLED TO NO WEIGHT FOR THAT REASON, BECAUSE THEY ARE WRONG, BECAUSE THEY ARE BY NON-ATTORNEYS, AND BECAUSE THERE IS NO REASON TO BELIEVE THAT THE PARTIES ACTUALLY SAW THEM. AS PART OF THE THOUSANDTHS OF PARTIES WHO ACCEPT THE GPL'S, THEY ARE PRESUMED TO READ THE TERMS OF THE CONTRACT, AND NOT COMMENTARY ON, BURIED ON A WEBSITE, PRESUMABLY PUBLISHED IN 2023, AND NOT DEMONSTRABLY PUBLISHED ANY EARLIER THAN THAT.

NOW, THERE IS NO INDICATION THAT FREE SOFTWARE FOUNDATION CONSIDERED THE QUESTION OF THIRD-PARTY ENFORCEMENT AT THE TIME THAT IT PROMULGATED THIS CONTRACT, BUT IT DIDN'T HAVE TO. IT INCLUDED A RIGHT TO SOURCE CODES IN THE TERMS OF THE CONTRACT. AND THAT IS THE RIGHT THAT WE'RE HERE SEEKING TO VINDICATE.

IT ALSO WARRANTS MENTION THAT THE PLAIN TERMS OF
THE CONTRACT PRECLUDE MODIFICATION. IT CANNOT BE
MODIFIED BY FREE SOFTWARE FOUNDATION OR ANYONE ELSE. AND
THESE FAQS ON THEIR WEBSITE CANNOT MODIFY THE TERMS OF
THE CONTRACT, AND DON'T PURPORT TO MODIFY THE TERMS OF

1	THE CONTRACT. AND SO THE CONTRACT SHOULD BE CONSTRUED
2	ACCORDING TO ITS PLAIN TERMS, WHICH EXIST ON THE FACE OF
3	THE AGREEMENT, IN THE PREAMBLE IN PARTICULAR, AND WHICH
4	CONFER THIS RIGHT TO SOURCE CODE ON RECIPIENTS OF THIRD
5	PARTIES ON RECIPIENTS OF LICENSED SOFTWARE, RATHER.
6	AND THE MOST SALIENT TERMS FROM THE PREAMBLE
7	READ AS FOLLOWS: IF YOU DISTRIBUTE COPIES OF A PROGRAM,
8	GPL LICENSED PROGRAM, WHETHER GRATIS OR FOR A FEE, YOU
9	MUST GIVE THE RECIPIENTS ALL THE RIGHTS THAT YOU HAVE.
10	YOU MUST MAKE SURE THAT THEY, TOO, RECEIVE, OR CAN GET,
11	THE SOURCE CODE.
12	THAT IS WHY WE'RE HERE TODAY, YOUR HONOR. WE
13	ARE SEEKING THE SOURCE CODE THAT WE'RE ENTITLED TO UNDER
14	THE TERMS OF THE CONTRACT.
15	AND WITH THAT, I WILL CONCLUDE, UNLESS THE COURT
16	WANTS TO HEAR ADDITIONAL ARGUMENT ON EVIDENTIARY
17	OBJECTIONS.
18	THE COURT: NO, THANK YOU.
19	MR. WILLIAMS: YOUR HONOR, VERY BRIEFLY. I KNOW
20	THE COURT HAS INDULGED US IN OUR ARGUMENT. UNLESS THE
21	COURT HAS QUESTIONS, I DON'T INTEND TO RESPOND TO THE
22	THIRD-PARTY BENEFICIARY ISSUES. I BELIEVE THOSE HAVE
23	BEEN BRIEFED IN OUR PAPERS.
0.4	

THE -- LOOKING AT THE ACTUAL SOURCE CODE

I DO WANT TO BRIEFLY ADDRESS SOME OF THE

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PREEMPTION ISSUES.

PROVISION, WHICH IS SECTION THREE, THE LANGUAGE SAYS, YOU MAY COPY AND DISTRIBUTE THE PROGRAM, PROVIDED THAT YOU ALSO DO ONE OF THE FOLLOWING. ACCOMPANY IT WITH THE COMPLETE CORRESPONDING MACHINE READABLE SOURCE CODE. AND I LEFT OUT SOME OF THE ANCILLARY WORDS, BUT THAT -- YOU MAY COPY AND DISTRIBUTE, PROVIDED THAT YOU PROVIDE THE SOURCE CODE. COPYING AND DISTRIBUTION ARE EXCLUSIVE RIGHTS UNDER THE COPYRIGHT ACT. I DON'T THINK COUNSEL

THIS IS A CONDITION THAT THE AUTHOR OF THE GPL HAS PLACED, OR THE COPYRIGHT HOLDER HAS PLACED ON THEIR DISTRIBUTION.

DISPUTED THAT.

2.0

NOW, SFC HAS TAKEN THE POSITION IN THIS LAWSUIT
THAT THE OBLIGATION TO PROVIDE SOURCE CODE HAS NOTHING TO
DO WITH THE RIGHT OF DISTRIBUTION UNDER THE COPYRIGHT
ACT. PUTTING ASIDE THE PLAIN LANGUAGE, WHICH SHOWS TO
THE CONTRARY, THEY HAVE NOT ADDRESSED THE ELEPHANT IN THE
ROOM. WHY HAS SFC FILED LAWSUITS, SENT DEMAND LETTERS
SAYING THAT THIS IS COPYRIGHT INFRINGEMENT BY DOING THE
EXACT SAME THING THEY ARE ALLEGING HERE, NOT PROVIDING
THE SOURCE CODE?

THEY ARE NOW SAYING, WE DIDN'T MEAN THAT. THEY SENT LETTERS. THEY FILED LAWSUITS SAYING, YOUR FAILURE TO DO THIS CONSTITUTES COPYRIGHT INFRINGEMENT BECAUSE IT VIOLATES THE EXCLUSIVE RIGHT OF DISTRIBUTION. AND THEY DON'T EVEN TRY TO EXPLAIN THAT.

MORE IMPORTANTLY, IT IS CLEAR THAT THEY ARE
TRYING TO ELIMINATE THE PREEMPTION DEFENSE ENTIRELY. WE
SUBMITTED, IN CONNECTION WITH A REPLY BRIEF, A DOCUMENT
THAT WASN'T FILED -- PROVIDED TO US UNTIL AFTER WE FILED
OUR SUMMARY JUDGMENT MOTION. IT WAS FILED CONDITIONALLY
UNDER SEAL, BECAUSE THEY HAD DESIGNATED IT AS
CONFIDENTIAL UNDER THE PROTECTIVE ORDER, ALTHOUGH I DON'T
BELIEVE ANY MOTION TO SEAL HAS BEEN FILED BY SFC, BUT
IT'S EXHIBIT 1 TO THE DAN POSNER DECLARATION IN
CONNECTION WITH OUR REPLY BRIEF.

2.0

AND WITHOUT GETTING INTO THE DETAILS, BECAUSE IT WAS CONDITIONALLY FILED UNDER SEAL, THE COURT'S REVIEW OF THAT DOCUMENT WILL MAKE CLEAR THAT THEY ARE CONTINUING TO TAKE THE POSITION THAT THEY SHOULD GET TO CHOOSE WHETHER THEY BRING A COPYRIGHT INFRINGEMENT CLAIM OR A THIRD-PARTY BENEFICIARY CLAIM BASED ON THE EXACT SAME CONDUCT. THAT IS EXACTLY WHAT PREEMPTION IS MEANT TO PREVENT.

YOU CANNOT BRING A STATE LAW CLAIM FOR THE SAME CONDUCT THAT SUPPORTS A COPYRIGHT INFRINGEMENT CLAIM.

THAT'S THE FUNDAMENTAL PRINCIPLE OF PREEMPTION. AND SO THAT DEMONSTRATES THAT THIS IS -- THEIR POSITION IS DISINGENUOUS. THEY ARE TRYING TO TAKE ADVANTAGE OF BOTH OPPORTUNITIES. THEY ARE PRETENDING THEY HAVE NEVER ACCUSED PEOPLE OF COPYRIGHT INFRINGEMENT, OR SENT DEMAND LETTERS FOR COPYRIGHT INFRINGEMENT, WHICH CONFIRMED THAT

THERE IS NO EXTRA ELEMENT HERE. AND THAT'S WHY WE
BELIEVE THEIR POSITION HAS NO MERIT. WELL, AMONG THE
OTHER REASONS, THE PLAIN LANGUAGE OF THE TEXT.

2.0

NOW, SFC TALKED ABOUT THIS, COPYRIGHT IS THE RIGHT TO EXCLUDE. WELL, THE COPYRIGHT STATUTE SAYS THE COPYRIGHT HOLDER HAS EXCLUSIVE RIGHTS TO DO OR TO AUTHORIZE THE FOLLOWING BIG SIX, AS MISS GRAY REFERRED TO. AND OF COURSE, THAT INCLUDES THE RIGHT TO COPY AND THE RIGHT TO DISTRIBUTE.

EVERY LICENSE AGREEMENT IS AN EXERCISE OF A

COPYRIGHT HOLDERS'S AUTHORIZATION TO ALLOW OTHERS TO

COPY, DISTRIBUTE, MODIFY, SUBJECT TO THE CONDITIONS THEY

PUT IN THERE. HERE, THE COPYRIGHT HOLDER PUT IN THE

CONDITIONS THAT SAYS, YOU MAY COPY AND DISTRIBUTE IT, SO

LONG YOU ALSO PROVIDE THE SOURCE CODE. NO DIFFERENT THAN

THE CASE IN JACOBSEN V. KATZER, WHICH WE REFERRED TO.

AND EVEN IN THAT CASE THE FEDERAL CIRCUIT SAID,

"COPYRIGHT LICENSES ARE DESIGNED TO SUPPORT THE RIGHT TO

EXCLUDE. MONEY DAMAGES ALONE DO NOT SUPPORT OR ENFORCE

THAT RIGHT. THE CHOICE TO EXACT CONSIDERATION IN THE

FORM OF COMPLIANCE WITH THE OPEN SOURCE REQUIREMENTS OF

DISCLOSURE AND EXPLANATION OF CHANGES, RATHER THAN AS A

DOLLAR DENOMINATED FEE, IS ENTITLED TO NO LESS LEGAL

RECOGNITION."

IN OTHER WORDS, THE FEDERAL CIRCUIT IS SAYING
THE OPEN SOURCE COPYRIGHT HOLDER IS ENTITLED TO CONDITION

THEIR USE OF THEIR SOFTWARE ON THESE DISCLOSURE

REQUIREMENTS, WHICH ARE IDENTICAL, IN SUBSTANCE, TO WHAT

WE HAVE HERE.

2.0

AND COUNSEL SAID JACOBSEN WASN'T ABOUT

PREEMPTION. WELL, THE FEDERAL CIRCUIT REVERSED THE ENTRY

OF A PRELIMINARY INJUNCTION AND REMANDED IT TO THE

DISTRICT COURT. AND IN JACOBSEN 2, WHICH WE CITE IN OUR

CASE, THE NORTHERN DISTRICT OF CALIFORNIA SPECIFICALLY

FOUND THAT THE BREACH OF CONTRACT CLAIM WAS PREEMPTED

UNDER THE COPYRIGHT ACT.

SO FINALLY, I JUST WANT TO TOUCH ON THE CASES
THEY MENTIONED. VERSATA, IT'S AN UNPUBLISHED DECISION
FROM THE WESTERN DISTRICT OF TEXAS. AND IT SPECIFICALLY
DID NOT ADDRESS THIRD-PARTY BENEFICIARY. THE COURT NOTES
THAT AT THE END OF THE OPINION.

THE ARTIFEX CASE, WHICH IS UNPUBLISHED OUT OF
THE NORTHERN DISTRICT, FIRST OF ALL, INVOLVED GPL VERSION
ONE, NOT VERSION TWO. AND THE COURT NOTED THERE THAT THE
DEFENDANT IN THAT CASE OFFERED NO EXPLANATION FOR WHY THE
SOURCE CODE REQUIREMENT WAS NOT AN EXTRA ELEMENT. THERE
WAS NO ARGUMENT ON IT. THAT'S CONTRARY TO WHAT WE HAVE
HERE.

FINALLY, THE NORTHERN DISTRICT RELIED, INSTEAD,

NOT ON THAT ISSUE, BUT ON THE FACT THAT THERE WAS AN

EXTRATERRITORIAL ISSUE INVOLVING THE BREACH OF THE

COPYRIGHT ACT. SO IN THE DECISION THE COURT SAYS, BY THE

WAY, THE NINTH CIRCUIT HAS HELD THAT THERE IS NO
EXTRATERRITORIAL EFFECT WITH REGARD TO THE COPYRIGHT ACT,
SO THE COURT BASED ITS RULING ON THAT ISSUE, WHICH WE
DON'T HAVE HERE.
JACOBSEN, A PUBLISHED UNANIMOUS DECISION FROM
THE FEDERAL CIRCUIT, APPLIED CALIFORNIA LAW TO A VERY
COMPARABLE OPEN SOURCE LICENSE AGREEMENT THAT WE HAVE
HERE. WE WOULD SUBMIT THAT CARRIES A SIGNIFICANT AMOUNT
OF PRECEDENTIAL AUTHORITY, AND IS PERSUASIVE.
AND UNLESS THE COURT HAS ANY QUESTIONS, I WANT
TO THANK YOU FOR THE TIME THAT YOU SPENT WITH US HERE
TODAY.
THE COURT: NO QUESTIONS. THANK YOU.
ANYTHING ELSE?
MS. GRAY: YES, YOUR HONOR. IF I MAY JUST
BRIEFLY, I WOULD LIKE TO RESPOND TO SOME OF THE COMMENTS
THAT MR. WILLIAMS JUST MADE.
SO MR. WILLIAMS SAID, FIRST OF ALL, YOU KNOW, HE
CITED THE SOURCE CODE PROVISION IN THE GPL THAT SAYS, YOU
MAY COPY AND DISTRIBUTE PROVIDED THAT YOU DO THESE
FOLLOWING THINGS, INCLUDING PROVIDE THE SOURCE CODE.
AND HE SAYS THAT'S THE COPYING AND DISTRIBUTION
RIGHT UNDER THE COPYRIGHT ACT, AND IT IS A CONDITION THAT
THE OWNER HAS PLACED IN DISTRIBUTION.
WELL, I JUST, I WANT TO TALK ABOUT THIS IDEA OF

WHERE THIS RIGHT TO THE SOURCE CODE PROVISION COMES FROM.

1	LET'S SAY THERE WAS NO CONTRACT IN PLACE HERE AT ALL.
2	RIGHT? NO LICENSE AGREEMENT AT ALL. THE COPYRIGHT ACT
3	DOESN'T GIVE THE COPYRIGHT OWNERS OF THE SOURCE CODE AT
4	ISSUE HERE THE RIGHT TO REQUIRE ANYONE TO DISTRIBUTE THAT
5	SOURCE CODE. IT'S NOT A RIGHT THAT'S IN THERE, IT'S NOT
6	ONE OF THE BIG SIX. THEREFORE, THAT RIGHT IS NOT ONE OF
7	THE ONES THAT IS PROTECTED BY COPYRIGHT.
8	THE ONLY WAY YOU GET TO MAKE THAT REQUIREMENT,
9	THE ONLY WAY A COPYRIGHT OWNER GETS TO REQUIRE A THIRD
10	PARTY TO MAKE THAT KIND OF A DISTRIBUTION IS THROUGH A
11	CONTRACT. IT'S A CONTRACT RIGHT.
12	SO LET ME GIVE YOU AN EXAMPLE HERE. WELL,
13	BEFORE I DO THAT, LET ME SAY THIS: MR. WILLIAMS SAYS THE
14	CONSERVANCY TAKES THE POSITION THAT WE GET TO CHOOSE
15	WHETHER TO BRING A COPYRIGHT CLAIM OR A CONTRACT CLAIM.
16	AND HE SAYS THIS IS THE ELEPHANT IN THE ROOM.
17	SO HERE'S, OKAY, ELEPHANT, I'M ADDRESSING THE
18	ELEPHANT, WHEREVER THE ELEPHANT IS, I'M ADDRESSING IT
19	NOW, RIGHT? THE ELEPHANT IN THE ROOM, WHETHER WE GET TO
20	CHOOSE TO BRING A CONTRACT CLAIM OR A COPYRIGHT CLAIM.
21	WELL, FIRST OF ALL, WE DO. THAT'S RECOGNIZED BY THE
22	FEDERAL COURT
23	THE COURT REPORTER: I'M SORRY
24	MS. GRAY: I'LL TRY TO SLOW DOWN.
25	THE FEDERAL COURT RECOGNIZED, IN THE REMAND

ORDER, THAT A PLAINTIFF IS THE MASTER OF ITS COMPLAINT,

1	AND THEY GET TO CHOOSE WHAT CLAIMS THEY BRING. AND SO
2	THE FACT THAT CONSERVANCY MAY HAVE BROUGHT OTHER CLAIMS
3	IN OTHER CASES, OR ASSERTED OTHER CLAIMS IN
4	CORRESPONDENCE AT VARIOUS POINTS IN TIME, DOESN'T MEAN
5	THAT AT THE MOMENT THAT THEY CHOOSE TO FILE THE COMPLAINT
6	IN THIS ACTION, THEY DON'T GET TO DECIDE, YOU KNOW WHAT?
7	NOW WE ARE PUTTING PEN TO PAPER, AND THIS IS THE CLAIM,
8	THIS IS THE CLAIM THAT WE'RE GOING TO BRING. WE'RE GOING
9	TO BRING A BREACH OF CONTRACT ACTION.
10	AND THAT'S NOT JUST RECOGNIZED BY THE COURT IN
11	THE REMAND ORDER. IT'S ALSO RECOGNIZED IN THE ARTIFEX
12	WHERE THERE WAS A COPYRIGHT INFRINGEMENT CLAIM AND A
13	COPYRIGHT CLAIM, WHICH THE COURT ADDRESSED IN ITS
14	OPINION.
15	NOW, MR. WILLIAMS SAYS THAT THIS IDEA OF NOT
16	BEING OF CHOOSING BETWEEN WHETHER TO BRING A COPYRIGHT
17	CLAIM OR A CONTRACT CLAIM, HE SAID, AND I PUT QUOTES
18	AROUND THIS IN MY NOTES, "THAT IS WHAT PREEMPTION IS
19	MEANT TO PREVENT."
20	NO, NO. THAT IS NOT WHAT PREEMPTION IS MEANT TO
21	PREVENT. PREEMPTION EXISTS BECAUSE CONGRESS DECIDED THAT
22	IT WANTED COPYRIGHT TO BE A BODY OF FEDERAL LAW; RIGHT?

PREVENT. PREEMPTION EXISTS BECAUSE CONGRESS DECIDED THAT IT WANTED COPYRIGHT TO BE A BODY OF FEDERAL LAW; RIGHT?

AND SO FOR THE REASONS THAT CONGRESS DECIDES WHEN IT WANTS TO OCCUPY THE FIELD, RIGHT, TO HAVE UNIFORMITY OF DECISIONS, ET CETERA, ET CETERA, THEY DECIDED, WE'RE GOING TO OCCUPY THE FIELD ON COPYRIGHT LAW, AND WE ARE

GOING TO SAY THAT STATE LAW CLAIMS THAT A CERTAIN GROUP
OF RIGHTS ARE GOING TO BE PREEMPTED.

2.0

THAT'S WHAT PREEMPTION IS MEANT TO PREVENT.

IT'S NOT, IT'S TO RESERVE COPYRIGHT CLAIMS TO BE DECIDED

UNDER FEDERAL LAW, NOT TO SAY THAT IF YOU HAVE TWO

DIFFERENT CLAIMS THAT YOU COULD LEGITIMATELY CHOOSE FROM,

YOU CAN'T CHOOSE BOTH OF THOSE CLAIMS.

NOW, HERE'S WHERE I WANT TO GIVE YOU AN EXAMPLE,
OKAY? LET'S SAY DON AND I ENTER INTO A CONTRACT THAT
ALLOWS HIM TO MAKE 10 COPIES OF A PHOTOGRAPH THAT I OWN.
DON MAKES 11 COPIES. I HAVE AN INFRINGEMENT CLAIM
AGAINST DON THAT'S BASED ON THE MAKING OF THAT 11TH COPY.
THAT 11TH COPY IS AN INFRINGEMENT, BECAUSE IT'S NOT
SOMETHING I AUTHORIZED HIM TO DO.

I DON'T HAVE A BREACH OF CONTRACT CLAIM AGAINST DON FOR THAT 11TH COPY, BECAUSE IT'S PREEMPTED BY THE COPYRIGHT ACT. BECAUSE THE MAKING OF THE COPY, THE REPRODUCTION, IS THE ACT THAT GIVES RISE TO THE CLAIM.

OKAY?

NOW LET'S CHANGE THE EXAMPLE A LITTLE BIT.

LET'S SAY I ENTER INTO A CONTRACT WITH DON, AND IT SAYS

DON CAN MAKE 10 COPIES OF THE PHOTOGRAPH THAT I OWN ON

THE CONDITION THAT HE PAYS ME \$100. DON MAKES THE 10

COPIES, BUT HE STIFFS ME AND HE DOESN'T PAY ME THE

HUNDRED BUCKS, RIGHT? I HAVE A BREACH OF CONTRACT CLAIM

AGAINST DON, BECAUSE THE COPYRIGHT ACT DOESN'T SAY

1	ANYTHING ABOUT PAYMENT. IT SAYS I GET TO MAKE COPIES,
2	AND I GET TO AUTHORIZE PEOPLE TO MAKE COPIES, BUT IT
3	DOESN'T SAY THAT I HAVE TO GET MONEY FROM THEM OR THAT
4	THEY HAVE TO PAY ME FOR IT, RIGHT? I COULD AUTHORIZE HIM
5	TO DO IT FOR FREE.
6	SO THAT'S THE RIGHT. THE PAYMENT RIGHT IS THE
7	ONE THAT COMES FROM THE CONTRACT. I HAVE A BREACH OF
8	CONTRACT CLAIM AGAINST HIM FOR THAT.
9	NOW, LET'S SAY, THIRD EXAMPLE, THE CONTRACT
L 0	SAYS, YOU CAN MAKE 10 COPIES OF A PHOTOGRAPH THAT I OWN
11	ON THE CONDITION THAT YOU PAY ME \$100. DON MAKES 11
12	COPIES, AND HE STIFFS ME, AND HE DOESN'T PAY ME THE
13	HUNDRED BUCKS. I HAVE A COPYRIGHT INFRINGEMENT CLAIM
L 4	AGAINST DON BASED ON COPY NUMBER 11; HE HAS MADE AN
15	UNAUTHORIZED REPRODUCTION. AND I HAVE A BREACH OF
16	CONTRACT CLAIM FOR HIS FAILURE TO PAY ME THE HUNDRED

I CAN ASSERT EITHER OF THOSE CLAIMS, NEITHER OF THOSE CLAIMS, OR BOTH OF THOSE CLAIMS. IT IS ENTIRELY UP TO ME WHAT I DECIDE TO BRING AND HOW I DECIDE TO ENFORCE THE DISTINCT RIGHTS THAT I HAVE UNDER THE COPYRIGHT ACT AND UNDER THE CONTRACT.

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DOLLARS.

SO HERE, WE BROUGHT A BREACH OF CONTRACT CLAIM BECAUSE WE'RE ENFORCING A CONTRACT RIGHT, AS YOU'VE HEARD THROUGHOUT THE ARGUMENT HERE.

I WANT TO TOUCH VERY BRIEFLY ON THE JACOBSEN

CASE, WHICH MR. WILLIAMS MENTIONED, BECAUSE HE MENTIONED
THE FACT THAT ON REMAND, YOU KNOW, LIKE AT THE FEDERAL
CIRCUIT LEVEL, RIGHT, I SAID IT WASN'T A PREEMPTION CASE
WHICH IT WAS NOT. THE FEDERAL CIRCUIT DID NOT ADDRESS

2.0

PREEMPTION.

ON REMAND THEY DID, THE DISTRICT COURT DID

ADDRESS PREEMPTION OF A SEPARATE CLAIM, RIGHT? THERE

WERE TWO CLAIMS BROUGHT IN THAT CASE. THERE WAS A

COPYRIGHT INFRINGEMENT CLAIM THAT WAS BROUGHT THAT WAS

THE SUBJECT OF THE FEDERAL CIRCUIT OPINION, AND ON

REMAND, THE LANGUAGE THAT MR. WILLIAMS QUOTED WAS

ADDRESSING A SEPARATE CLAIM FOR BREACH OF CONTRACT THAT

WAS BASED ON MISAPPROPRIATION OF THE SOFTWARE AT ISSUE, A

BREACH OF CONTRACT CLAIM FOR MISAPPROPRIATION OF A

SOFTWARE. THAT'S COPYING. IT'S ONE OF THE BIG SIX.

AND THE DISTRICT COURT SAID THAT'S PREEMPTED,

BECAUSE IT'S THE EXACT SAME RIGHTS THAT ARE PROTECTED BY

THE COPYRIGHT ACT. SO THE DISTRICT COURT APPROPRIATELY

FOUND THAT CLAIM TO BE PREEMPTED.

SO IN SUMMARY, YOUR HONOR, THERE IS NO

PREEMPTION HERE, BECAUSE THE CLAIM AT ISSUE HERE, WHICH
IS TO VINDICATE THE SOURCE CODE PROVISION TO REQUIRE

DOWNSTREAM LICENSEES OF THIS SOFTWARE TO DISTRIBUTE

COPIES OF THE SOURCE CODE, IS NOT FOUND IN THE COPYRIGHT

ACT.

MR. WILLIAMS -- OR I'M SORRY, VIZIO SEEKS TO

1	TURN THE COPYRIGHT ACT ON ITS HEAD AND SAY THAT BECAUSE A
2	COPYRIGHT OWNER CAN AUTHORIZE THE DISTRIBUTION OF ITS OWN
3	WORK, IT CAN ALSO FORCE THIRD PARTIES TO DISTRIBUTE ITS
4	OWN WORK, AND THAT'S THE SAME RIGHT.
5	IT'S NOT. THEY ARE DISTINCT, AND THERE IS NO
6	PREEMPTION HERE. AND I WOULD BE HAPPY TO ANSWER ANY
7	QUESTIONS YOU MAY HAVE ON THIS SUBJECT.
8	THE COURT: I HAVE NO ADDITIONAL QUESTIONS.
9	MS. GRAY: THANK YOU, YOUR HONOR.
10	MR. WILLIAMS: MAY I HAVE ONE MINUTE AS THE
11	MOVING PARTY?
12	THE COURT: YOU MAY.
13	MR. WILLIAMS: ON THE MASTER COMPLAINT, IT'S
14	CONTRARY TO CALIFORNIA LAW. WE CITE THE CALIFORNIA
15	SUPREME COURT CASE OF DETOMASO AND THE CIVIC PARTNERS
16	VERSUS YOUSSEFI CASE. THE ISSUE ISN'T WHAT YOU ALLEGE,
17	IT'S THE SUBSTANCE OF YOUR CLAIM.
18	SECOND, WITH REGARD TO THE EXAMPLE, IF THERE WAS
19	NO CONTRACT HERE, NO GPL, WELL, IF THERE WAS NO CONTRACT
20	AND A PARTY DISTRIBUTED SOMEONE ELSE'S COPYRIGHTED
21	SOFTWARE, THEY WOULD BE LIABLE FOR COPYRIGHT
22	INFRINGEMENT.
23	THE ISSUE HERE IS THERE IS A LICENSE AGREEMENT
24	THAT GIVES YOU THE RIGHT TO DISTRIBUTE SOFTWARE, SUBJECT
25	TO CERTAIN CONDITIONS.

26

ON PREEMPTION, PREEMPTION IS MEANT TO PREVENT

STATE LAW FROM UNDERMINING THE FEDERAL STATUTORY SCHEME.

UNDER FEDERAL COPYRIGHT LAW, ONLY THE COPYRIGHT HOLDER

CAN SUE TO ENFORCE COPYRIGHTS.

2.0

SFC IS TRYING TO DO EXACTLY WHAT PREEMPTION IS
MEANT TO PREVENT. THEY ARE TRYING TO NOW ADD SOME
ADDITIONAL RIGHTS UNDER A STATE CONTRACT CLAIM TO ENFORCE
THE SAME RIGHTS UNDER COPYRIGHT. THAT IS WHAT PREEMPTION
IS MEANT TO PREVENT.

FINALLY, THE EXAMPLE MISS GRAY GAVE, I HAVE NO
QUALMS WITH THE FACT THAT IF HER COLLEAGUE STIFFED HER ON
THE MONEY, AND ALSO MADE AN 11TH COPY, THAT THERE WOULD
BE TWO SEPARATE CLAIMS; ONE FOR BREACH OF CONTRACT, AND
ONE FOR COPYRIGHT INFRINGEMENT. WE EVEN ACKNOWLEDGE THAT
IN A FOOTNOTE IN OUR BRIEF.

BUT WHAT CANNOT HAPPEN, AND I DON'T THINK

MISS GRAY ARGUED THIS, IS THAT SHE COULD DECIDE TO SUE

HER COLLEAGUE, DON, FOR BREACH OF CONTRACT BASED UPON HIS

MAKING THE 11TH UNAUTHORIZED COPY. THAT IS COPYRIGHT

INFRINGEMENT. SHE CANNOT ELECT TO SUE FOR THAT SAME

CONDUCT UNDER BREACH OF CONTRACT. IT'S EITHER A BREACH

OF CONTRACT BECAUSE IT DOESN'T INVOLVE EXCLUSIVE RIGHTS

UNDER THE COPYRIGHT ACT, OR IT'S COPYRIGHT INFRINGEMENT,

BECAUSE IT DOES INVOLVE EXCLUSIVE RIGHTS.

HERE, THEIR ASSERTION IS THAT WHAT THEY ARE SEEKING TO ENFORCE INVOLVES AN EXCLUSIVE RIGHT TO COPY AND DISTRIBUTE. THAT IS A COPYRIGHT CLAIM.

1	THANK YOU FOR YOUR PATIENCE, YOUR HONOR.
2	MS. GRAY: JUST ONE LAST THING, YOUR HONOR, I
3	KNOW YOU HAVE BEEN SO PATIENT. I JUST WANT TO SAY IN THE
4	HYPOTHETICAL I GAVE, I'M NOT SUING DON FOR MAKING THE
5	11TH COPY. I'M SUING HIM FOR STIFFING ME, AND THE
6	STIFFING ME PART IS EQUIVALENT, IN THIS SITUATION, TO THE
7	FAILURE TO DISTRIBUTE THE SOURCE CODE. IT'S THAT ADDED
8	REQUIREMENT UNDER THE CONTRACT.
9	WE'RE NOT SEEKING A PRELIMINARY INJUNCTION HERE.
10	WE'RE NOT SEEKING TO STOP VIZIO FROM INCLUDING THE
11	SOFTWARE IN ITS TV'S. THAT'S A COPYRIGHT REMEDY, RIGHT?
12	TO SAY YOU'RE INFRINGING AND I WANT YOU TO STOP, THAT'S A
13	COPYRIGHT CLAIM.
14	THAT'S NOT WHAT WE ARE ASKING FOR HERE. WE'RE
15	SAYING, YOU OWE US THIS CODE. YOU'VE STIFFED US ON THIS
16	CODE, AND WE WANT YOU TO GIVE IT TO US.
17	THANK YOU.
18	THE COURT: THANK YOU. THANK YOU ALL.
19	MR. WILLIAMS: THANK YOU FOR YOUR PATIENCE AND
20	YOUR TIME.
21	THE COURT: THANK YOU ALL.
22	MR. WILLIAMS: THANK YOU, YOUR HONOR.
23	(END OF PROCEEDINGS.)
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