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PRELIMINARY STATEMENT

The Court should deny Plaintiff Software Freedom Conservancy, Inc.'s ("SFC's") motion for summary adjudication as moot. Just before the new year, the Court denied Defendant VIZIO, Inc.'s ("VIZIO's") motion for summary judgment, ruling that SFC's claims are not preempted as a matter of law by the Copyright Act and that SFC's standing as a third-party beneficiary presents triable issues of fact for the jury to decide. SFC's mirror-image motion re-raises the same issues and arguments, all while introducing even less evidence than was previously before the Court.

In other words, the Court's prior ruling applies to the instant motion and requires its denial. As a result of the prior ruling, VIZIO's defense of copyright preemption has been removed from the case. Likewise, the question of SFC's standing as a third-party beneficiary has been reserved for trial. SFC even argued in opposition to VIZIO's motion that there were triable issues of fact regarding third-party beneficiary standing, which the Court accepted in its ruling. Thus, SFC should be estopped from now arguing that there are no such triable issues. Indeed, because VIZIO is now pursuing further discovery on the question of SFC's standing as a third-party beneficiary, the Court may separately deny SFC's motion under Code of Civil Procedure section 437c(h). At the very most, therefore, the Court should enter a perfunctory order reiterating its previous rulings, granting the motion in part (on preemption), and denying it in part (on standing).

BACKGROUND

SFC's Lawsuit Against VIZIO. SFC sued VIZIO, a Smart TV manufacturer, for allegedly violating two copyright licenses for open-source software: the GNU General Public License version 2 ("GPLv2") and the GNU Lesser General Public License version 2.1 ("LGPLv2.1") (collectively, the "GPLs"). (Register of Actions ("ROA") # 2 (Complaint at ¶ 15).) SFC does not hold the copyrights for the software at issue. It therefore sued for breach of contract rather than copyright infringement, alleging standing as an intended third-party beneficiary of the GPLs. (*Id.* (Complaint at ¶¶ 44, 109-26).) SFC seeks an order directing VIZIO to comply with the GPLs and declaratory relief. (*Id.* (Complaint at ¶¶ 87-134).)

The GPLs were created by the Free Software Foundation ("FSF")¹ as form licenses by which a copyright holder may authorize licensees to copy, modify, and distribute software pursuant to certain conditions. (VIZIO's Response To SFC's Separate Statement of Undisputed Material Facts ("Separate Statement") at ¶¶ 16-22.) "Everyone is permitted to copy and distribute verbatim copies" of the GPLs, "but changing [them] is not allowed." (*Id.* at ¶¶ 8-9.) The GPLs' stated "intent is to exercise the [copyright holder's] right to control the distribution of derivative or collective works[.]" (*Id.* at ¶¶ 21-22.) Licensees "may not copy, modify, sublicense, or distribute the Program except as expressly provided under this License. Any attempt otherwise to copy, modify, sublicense or distribute the Program is void, and will automatically terminate your rights under this License." (*Id.* at ¶¶ 19-20.)

SFC alleges that VIZIO violated the distribution provision of the GPLs, which allows licensees to copy and distribute software covered by the license on the condition that they provide, or offer in writing to provide, the corresponding source code for the licensed software and any modifications thereto. (ROA # 2 (Complaint at ¶¶ 37-38, 48-77).) Specifically, Section 3 of GPLv2 states that a licensee may distribute the software "provided that you . . . [a]ccompany it with the complete corresponding machine-readable source code" or "with a written offer . . . to give any third party . . . a complete machine-readable copy of the corresponding source code[.]" (Separate Statement at ¶ 5.) LGPLv2.1 imposes the same condition for software "libraries," which are "collection[s] of software functions and/or data prepared so as to be conveniently linked with application programs (which use some of those functions and data) to form executables." (*Id.* at ¶ 6.) SFC alleges that VIZIO violated these conditions by not sending SFC the source code, or an adequate written offer for the source code, for the versions of Linux and other GPL-licensed programs that VIZIO incorporates into its TVs. (ROA # 2 (Complaint at ¶¶ 37-38, 47-77).)

¹ The FSF is the "the author, primary interpreter, and ultimate authority on the GPL[s]." (Separate Statement at ¶ 17.) "[F]ounded in 1985, [the FSF] is dedicated to promoting computer users' right to use, study, copy, modify, and redistribute computer programs. The FSF promotes the development and use of free (as in freedom) software -- particularly the GNU operating system and its GNU/Linux variants -- and free documentation for free software. . . . [I]ts Web sites, located at fsf.org and gnu.org, are an important source of information about GNU/Linux." (*Id.* at ¶ 18.)

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<u>The Reasonable Expectations Of Licensees</u> . Because the GPLs prominently display the
FSF as their author (Separate Statement at ¶¶ 23-26), SFC admits that the FSF is the main authority
to which the public would look for guidance about them. (Id. at ¶¶ 17-18, 49.) As pertinent here,
the FSF's public-facing materials uniformly indicate that the GPLs are enforceable only by the
copyright holder. (Id. at ¶¶ 27-44.) On its website, www.fsf.org, the FSF states that it "publishes
the GNU General Public License (GNU GPL)" and provides links to its companion site
www.gnu.org, where the GPLs are available for download. (Id. at ¶¶ 27-28.) The FSF's Licensing
and Compliance page similarly provides numerous links to www.gnu.org and invites users to contact
the FSF at various email addresses ending in "@gnu.org." (Id. at ¶¶ 33-36.) One of the provided
links to www.gnu.org sends users to the FSF's "Frequently Asked Questions" pages, which states
that "the copyright holders of the software are the ones who have the power to enforce the GPL,"
and that "we cannot act on our own where we do not hold copyright." (Id. at $\P\P$ 34, 39, 41.) The
FSF's Education page provides a link to those same FAQs. ($Id.$ at \P 31.) The FSF indicates that the
public consults and benefits from those FAQs:

I must say that the vast majority of my questions have been answered by the thorough FAQs included around the FSF Web site. I needed them, for example, when I helped someone correctly GPL their software in under 10 minutes in time for a competition deadline! Thank you for all the resources you already provide.

(Id. at ¶ 36.) Thus, according to the FSF, to whom the public may be expected to turn for guidance, only copyright holders may enforce the GPLs.

Until very recently, SFC's own public-facing materials were aligned with the FSF's, conveying the same message that only copyright holders can enforce the GPLs. As far back as 2012, SFC's president, Bradley Kuhn, publicly stated that "the parties who may enforce are copyright holders[.]" (*Id.* at ¶ 45.) As recently as August 28, 2023, the COPYLEFT AND THE GNU GENERAL PUBLIC LICENSE: A COMPREHENSIVE TUTORIAL AND GUIDE, a publicly available guide to the GPLs

that SFC hosts and sponsors for the purpose of public education, stated that the "copyright holders (or their agents) are ultimately the sole authorities to enforce [the GPL] and protect the rights of users." (Id. at ¶¶ 46-50.) These are the sorts of statements that the average person with questions about GPL enforcement would encounter.

VIZIO's Motion for Summary Judgment. On December 29, 2023, the Court denied VIZIO's motion for summary judgment (ROA # 58 ("VIZIO MSJ")), in which VIZIO sought dismissal of all SFC's claims on the basis of copyright preemption and lack of third-party standing. (ROA # 161 ("Order").) The Court rejected copyright preemption as a matter of law, concluding that SFC's claim presents an "extra element" different from copyright infringement because "Plaintiff seeks only to compel Defendant Vizio to provide it with source code – a remedy that is not available under the Copyright Act." (Id. at 7.) In separately rejecting VIZIO's motion as to third-party standing, the Court found "that a triable issue of material fact exists as to whether Plaintiff is a third beneficiary under the GPL license." (Id. at 10.) Thus, the Court concluded that, under Goonewardene v ADP, LLC, the "relevant circumstances" informing the GPLs and the expectations of the parties must be considered at trial. (Id. at 8 (quoting 6 Cal. 5th 817, 830 (2019)).)

Subsequent Procedural History. On January 10, 2024, SFC filed its First Amended Complaint ("FAC"), adding supplementary factual allegations with no effect on the instant motion. (ROA # 165.) In light of the FAC, the parties filed a joint stipulation to continue the March 25, 2024 trial date so as to ensure time for adequate discovery. (ROA # 163.) VIZIO then filed its Answer to the FAC, in which it preserved its defenses of copyright preemption and lack of third-party standing, among others. (ROA # 167.) On January 19, 2024, VIZIO filed a Petition for Writ Review of the Court's summary-judgment order on the issue of copyright preemption. (Case No. G063614.) That Petition remains pending before the Court of Appeal. (Id.) On January 31, 2024, the Court heard the parties' joint ex parte application to continue trial and granted a continuance to July, 15, 2024. (ROA # 174-75.)

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SFC'S MOTION AS TO THIRD-PARTY STANDING SHOULD BE DENIED FOR MULTIPLE REASONS

SFC's Motion As To Third-Party Standing Is Moot

This Court previously found that SFC's standing as a third-party beneficiary poses a triable question of fact. (Order at 10.) In examining whether third-party enforcement would be consistent with the parties' "reasonable expectations" under Goonewardene, the Court recognized that "evidence from the FSF," the GPLs' author, would be relevant. (Id.) VIZIO argued that the GPLs state on their face that they are authored by the FSF; that the FSF is the primary authority on the GPLs; and that the average licensee would be guided by the FSF's public statements. (VIZIO MSJ at 18-20.) The Court rejected VIZIO's proffered evidence of FSF's statements as hearsay and insufficiently authenticated. (Order at 5, 8, 10.) But the Court acknowledged that admissible evidence of the FSF's statements would be important because the GPLs' text alone fails to make clear whether third-party enforcement would be consistent with the parties' reasonable expectations. "[T]he language of the GPLs is not so certain so as to preclude other interpretations." (Id. at 10.) Thus, the Court followed Spinks, which held that there was "a triable issue of fact on the question of whether [the] plaintiff was an intended third party beneficiary" because "resort to the contract language alone does not resolve the question of plaintiff's status." Spinks v. Equity Residential Briarwood Apartments, 171 Cal. App. 4th 1004, 1028, 1030 (2009) (cleaned up).

SFC provides no reason to revisit that ruling because it fails to introduce any evidence to resolve that issue of fact in its favor. Instead, SFC relies on the GPLs text alone (Mot. at 14), which the Court has already rejected as a sufficient basis to find third-party standing. (Order at 10.) Consequently, SFC's motion as to third-party standing is moot. See, e.g., Daviscourt v. Columbia State Bank, 2008 WL 113899, at *3 (D. Colo. Jan. 9, 2008) ("any arguments raised in the instant motion that were previously ruled on . . . are denied as moot").

Judicial Estoppel Prevents SFC From Asserting There Are No Triable Issues В. Of Fact On Third-Party Standing

Separately, SFC is estopped from arguing that the GPLs' text alone establishes third-party standing. "Judicial estoppel generally prevents a party from prevailing in one phase of a case on an

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Servs., Inc. v. Emigh, 84 Cal. App. 4th 1175, 1191 (2000) (cleaned up). In opposing VIZIO's motion for summary judgment, SFC argued that it "may defeat [VIZIO's] Motion by showing that a triable issue of material fact exists as to whether it is an intended third-party beneficiary of the GPLs. SFC makes just such a showing here." (ROA # 85 ("SFC Opp.") at 13.) The Court adopted that argument, concluding that "a triable issue of material fact exists as to whether Plaintiff is a third beneficiary under the GPL license." (Order at 10.) Despite prevailing on that argument, SFC now argues the exact opposite: that on the basis of even less evidence—in other words, by relying even more so on the GPLs' text alone—there is no triable issue of fact after all. (Mot. at 14.) SFC's about-face satisfies the classic elements of judicial estoppel. See Jackson v. Cnty. of Los Angeles, 60 Cal. App. 4th 171, 183 (1997) (judicial estoppel applies where "(1) the same party has taken two positions; (2) the positions were taken in judicial or quasi-judicial administrative proceedings; (3) the party was successful in asserting the first position (i.e., the tribunal adopted the position or accepted it as true); (4) the two positions are totally inconsistent; and (5) the first position was not taken as a result of ignorance, fraud, or mistake."). Accordingly, the Court should disregard SFC's reversed position here.

C. Third-Party Standing Still Presents A Triable Issue Of Fact

Should the Court reconsider the merits, the motion should be denied because SFC fails to meet its evidentiary burden. As the Court previously stated, "[g]enerally, it is a question of fact whether a particular third person is an intended beneficiary of a contract." (Order at 8 (quoting Spinks, 171 Cal. App. 4th at 1025 (cleaned up)).) Indeed, Goonewardene makes clear that the "relevant circumstances" surrounding the contract must be considered. 6 Cal. 5th 817, 830 (2019). Here, there is no dispute that the meaning of unchangeable form agreements like the GPLs depends on the "reasonable expectations of the average member of the public who accepts it." Williams v. Apple, Inc., 338 F.R.D. 629, 638 (N.D. Cal. 2021) (quoting Restatement (Second) of Contracts § 211(2) cmt. e). The dispute primarily concerns whether, under the third prong of Goonewardene's test, enforcement actions brought by any third party would comport with the "reasonable expectations" of the average licensee.

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SFC introduces no evidence on this point and thereby fails to meet its "initial burden of production to make a prima facie showing of the nonexistence of any triable issue of material fact[.]" (Order at 3 (quoting *Aguilar v. Atl. Richfield Co.*, 25 Cal. 4th 826, 850 (2001)).) The Court has already rejected SFC's reliance (Mot. at 14) on the GPLs' text alone. (Order at 10.)

In contrast to SFC's failure to introduce any evidence, VIZIO submits documents from the FSF, and from SFC itself, to at least create a triable question regarding whether the average party's reasonable expectations would be upset by the prospect of third-party enforcement. The GPLs prominently display the FSF as their author. (Separate Statement at ¶¶ 23-26.) SFC admits that the FSF is the "ultimate authority" on the GPLs and that its websites, fsf.org and gnu.org, are an "important source of information." (Id. at ¶¶ 17-18.) Accordingly, as this Court previously recognized (Order at 10), the expectations of the average licensee would be informed by the FSF's public guidance. Thus, the FSF's main website, www.fsf.org, directs the public to the FAQs on its sister site, www.gnu.org. (Separate Statement at ¶¶ 31, 34.) Notably, those FAQs are copyrighted through the years 2001-2019, 2021, and 2023, and the FSF holds them out as helpful for the public. (*Id.* at ¶¶ 36, 40.) In response to the question "Who has the power to enforce the GPL?", the FAQs specifically advise that "the copyright holders of the software are the ones who have the power to enforce the GPL." (Id. at ¶ 39.) The FSF further advises that "[i]f you think you see a violation of the GNU GPL [or] LGPL . . . you should send a precise report to the copyright holders of the packages that are being wrongly distributed"; "only the copyright holder or someone having assignment of the copyright can enforce the license." (Id. at ¶¶ 41, 43.) Even on the FSF's main website, www.fsf.org, the FSF states that it "can only enforce the license on works to which we hold the copyright[.]" (Id. at \P 35.) In short, the FSF's message to the public throughout the years has been consistent: only copyright holders can enforce the GPLs, not any third party like SFC.

These statements from the FSF are not hearsay, because they are not offered to prove the truth of what they assert. They are offered to prove what the average party to the GPLs would think about third-party enforcement— i.e., to prove their "effect on the listener," which is not hearsay. People v. Ramirez, 13 Cal. 5th 997, 1115 (2022) ("Evidence of an out-of-court statement may be admitted for the nonhearsay purpose of showing its effect on the listener so long as that effect is

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relevant to an issue in dispute."). Likewise, authentication is not an issue because the average licensee would at least attribute these statements to the FSF in forming their reasonable expectations. Regardless, the statements are authenticated. SFC admits that these websites belong to the FSF. (Separate Statement at ¶ 18.) Further, "the author's testimony is not required to authenticate a document; instead, its authenticity may be established by the contents of the writing or by other means. As long as the evidence would support a finding of authenticity, the writing is admissible. The fact conflicting inferences can be drawn regarding authenticity goes to the document's weight as evidence, not its admissibility." People v. Valdez, 201 Cal. App. 4th 1429, 1435 (2011) (cleaned up). Thus, Valdez held that statements on a social media page were authenticated because there were various signs that "the page belonged to Valdez rather than someone else by the same name, who happened to look just like him." Id. The situation is the same here, where numerous signs point to control by the FSF. These include the fact that www.fsf.org interlinks repeatedly with www.gnu.org; the fact that both websites display copyright notices from the FSF; and the fact that both websites display the FSF's logos. (Separate Statement at ¶ 28-34, 37-38, 40, 42, 44.) For purposes of authentication—distinct from the weight of the evidence—it is not plausible that a different entity is passing itself off as the FSF. See Valdez, 201 Cal. App. 4th at 1435.

In addition to the FSF's public messaging, SFC's admissions confirm that third-party enforcement would disrupt the reasonable expectations of the average licensee. As far back as 2012, SFC's president, Bradley Kuhn, publicly stated that "the parties who may enforce are copyright holders[.]" (Separate Statement at ¶ 45.) As of January 30, 2024, the Copyleft and the GNU GENERAL PUBLIC LICENSE: A COMPREHENSIVE TUTORIAL AND GUIDE—announced in 2014 as a joint project by SFC and the FSF to educate the public (*id.* at ¶¶ 47-48)—still states that copyright holders are "ultimately the sole authorities" to "protect the rights of users" and "have historically been the actors in GPL enforcement." (*Id.* at ¶ 50.) Strikingly, as editor-in-chief of that publication, Bradley Kuhn has made clear that he does not adopt "as the official advice in this tutorial, any text that conflicts with the FSF's policies[.]" (*Id.* at ¶¶ 46, 49.) Finally, in describing this very lawsuit, SFC states that "[i]n the past, the plaintiffs have always been copyright holders of the specific GPL code."

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(Id. at \P 51.) SFC then boasts that this case is "unique and historic" <u>precisely because</u> it involves third-party enforcement. (Id.)

In light of these statements by the FSF and SFC—which confirm decades of public messaging and understanding that the GPLs would not be enforceable by third parties—it is extremely probable that third-party enforcement would disrupt the reasonable expectations of the average licensee. Consequently, should the Court reach the merits, it must deny SFC's motion.

D. CCP § 437c(h) Independently Warrants Denial

Finally, the Court may deny SFC's motion as to third-party standing because VIZIO is conducting ongoing discovery on this issue. "If it appears from the affidavits submitted in opposition to a motion for summary judgment or summary adjudication, or both, that facts essential to justify opposition may exist but cannot, for reasons stated, be presented, the court shall deny the motion, order a continuance to permit affidavits to be obtained or discovery to be had, or make any other order as may be just." Code Civ. Proc. § 437c(h). That statutory command is not discretionary—the Court must not grant the motion. See Bahl v. Bank of Am., 89 Cal. App. 4th 389, 395 (2001). Pursuant to the Court's directive that more evidence is needed, VIZIO has been pursuing more and better evidence of the parties' reasonable expectations. (Separate Statement at ¶ 52.) Specifically, VIZIO is pursuing an out-of-state deposition of the FSF to authenticate its public pronouncements,² among other topics. (Id.) VIZIO also intends to present expert testimony on issues related to third-party beneficiary standing, including the reasonable expectations of parties to the GPLs. (Id.) Because this discovery is ongoing, the question of SFC's standing is best reserved for trial, as the Court previously determined. See People v. \$4,503 United States Currency, 49 Cal. App. 4th 1743, 1748 (1996) ("at the time the motion was pending before it, the trial court properly denied the motion for summary judgment at that time in order to allow further discovery to be conducted.").

² VIZIO plans to ask, *e.g.*, whether the domain names fsf.org and gnu.org are registered to the FSF, as online searches suggest. *See*, *e.g.*, https://www.whois.com/whois/gnu.org (indicating the FSF as the registrant of gnu.org).

II. SFC'S MOTION AS TO COPYRIGHT PREEMPTION IS MOOT 1 2 SFC asks the Court to rule that "the Copyright Act does not preempt SFC's claims against 3 VIZIO." (Mot. at 19.) The Court has already done so as a matter of law (Order at 8), thereby 4 mooting SFC's motion. See, e.g., Trinity Christian Ctr. of Santa Ana, Inc. v. Koper, 2013 WL 5 3200578, at *4 (C.D. Cal. June 21, 2013) (denying motion as moot where the court had previously issued the order sought by the motion). 6 7 CONCLUSION 8 VIZIO respectfully requests that the Court either deny SFC's motion as moot or issue an 9 order consistent with the Court's previous ruling. 10 11 QUINN EMANUEL URQUHART & DATED: February 1, 2024 12 SULLIVAN, LLP 13 /s/ Michael E. Williams By 14 Michael E. Williams 15 Attorney for Defendant VIZIO, Inc. 16 17 18 19 20 21 22 23 24 25 26 27 28

PROOF OF SERVICE

I am employed at the law firm of Quinn Emanuel Urquhart & Sullivan, LLP in the County of Los Angeles, State of California. I am over 18 years old and not a party to the within action. My business address is 865 South Figueroa Street, 10th Floor, Los Angeles, California 90017.

On February 1, 2024, I served a true and correct copy of the document described as DEFENDANT VIZIO INC.'S MEMORANDUM OF POINTS AND AUTHORITIES IN

DEFENDANT VIZIO INC.'S MEMORANDUM OF POINTS AND AUTHORITIES IN OPPOSITION TO PLAINTIFF'S MOTION FOR SUMMARY ADJUDICATION on the parties in this action via electronic service to the emails below, pursuant to the parties' joint stipulation: "Electronic service will count as personal service on the day of that electronic service, if the electronic service occurs before midnight Pacific Time. If the electronic service occurs after midnight Pacific Time, that service will count as personal service for the following business day that is not a legal holiday."

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I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on February 1, 2024.

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/s/ Arian Koochesfahani

Arian Koochesfahani

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